



**General Meeting**  
**[Join via Microsoft Teams Meeting](#)**  
**or by phone at 561-570-4464 Conference ID: 922 695 199#**  
**July 9, 2020 at 10:00 A.M. (CT)**  
**Richard - (850) 557-2441; Lauren - (850) 693-3913**

**A G E N D A**

<b>CALL TO ORDER</b>	Arthur Obar	
<b>INVOCATION / PLEDGE TO FLAG</b>	Donnie Read	
<b>ROLL CALL</b>	Lauren Morris	
<b>PUBLIC COMMENTS</b>	Arthur Obar	
<b>EMERGENCY ITEMS</b>	Arthur Obar	
<b>CONSENT ITEMS</b>	Arthur Obar	
* General Meeting Minutes May 14, 2020	Arthur Obar	Pages 1-3
<b>STATUS OF FUNDS REPORT</b>	Richard Williams	To Be Provided
<b>NDWG UPDATE</b>	Rose Adams	Pages 4-5
<b>NEW BUSINESS</b>		
* Nominating Committee Report/ Election of Officers	Arthur Obar	Page 6
* Youth Committee Meeting	Richard Williams	To Be Provided
* One Stop Operator Quarterly Report	Richard Williams	Pages 7-16
* CSC & DEO Agreement	Richard Williams	Pages 17-41
* Abandoned Position	Richard Williams	Page 42
* Discussion of Future Meetings	Richard Williams	
<b>DIRECTOR'S COMMENTS</b>	Richard Williams	

(Continued on next page)

**BOARD MEMBER COMMENTS**

Arthur Obar

**ADJOURNMENT**

Arthur Obar

**MARK YOUR CALENDARS**

**Next Board Meeting – Thursday, August 13, 2020**



## **GENERAL MEETING MINUTES**

Via Microsoft Teams/Conference Call  
May 14, 2020 at 10:00 AM (CT)

### **CALL TO ORDER**

A quorum was present and Arthur Obar, Chair, called the meeting to order. Donnie Read led the group in the Invocation and Pledge to the Flag.

#### **The following board members were present:**

Arthur Obar, Janice Sumner, Debbie Kolmetz, Raymond Russell, Mary McKenzie, Sandy Spear, Travis Ephriam, Donnie Read, Darrin Wall, Tracy Andrews, Larry Moore, Kristy Terry, Jesse Smallwood, Martha Compton, Frances Henderson.

#### **The following board members were absent:**

James Sellers, Tanya Burt, Ralph Whitfield, Johnny Eubanks, Sarah Clemmons, Keith Sutton, Andy Jackson.

#### **Others present included:**

Richard Williams, Lauren Morris, Rose Adams, Sara Johnson, Melody Wade, Kenny Griffin, Deena Johnson, Rachael Poole, Kyle Morgan, Debby Wood (CSC Staff); Daniel Harper (Department of Economic Opportunity).

### **PUBLIC COMMENTS**

Arthur Obar asked if there were any public comments. There were none.

### **GENERAL MEETING MINUTES**

**Janice Sumner made the motion, Donnie Read seconded the motion, and the vote was unanimous to approve the minutes of the March 12, 2020 general meeting.**

### **PERFORMANCE PRESENTATION**

Daniel Harper from the Department of Economic Opportunity was in attendance to discuss CSC's 2018 program year performance and 2019 program funding. He stated that CareerSource Chipola's performance is in line with other regions across the state.

### **STATUS OF FUNDS REPORT**

Richard Williams directed the board's attention to the Welfare Transition expenditure rate. He stated that the previously approved plan to use WT funding for a summer youth program was cancelled due to COVID-19, which would likely result in CSC having to return a portion of WT funding to the state. He also shared that while WT case numbers increased during COVID-19, participation was not required due to a waiver from the governor. Mr. Williams also pointed out two new funding sources: a COVID-19 NDWG grant and a COVID-19 PPE grant.

## **NDWG UPDATE**

Rose Adams provided an update on the National Dislocated Work Grant, stating that there were 78 participants at 19 worksites across all five CSC counties. She also said several workers had been laid off temporarily as worksites shut down due to COVID-19. Ms. Adams stated that CSC has received additional funding for the Hurricane Michael NDWG program of more than \$1.2 million to carry the program through September 2020. She also shared that the state is also applying for additional funding to continue the program through 2023.

## **EXECUTIVE COMMITTEE MEETING**

Richard Williams reviewed activity of the Executive Committee to include changes to the Purchasing Policy to align the purchasing thresholds and procurement files. The other item reviewed involved changes to the Natural Disaster and Emergency Declarations policy as needed in response to COVID-19 and emergencies such as Hurricane Michael. Both items were approved by the Executive Committee.

**Janice Sumner seconded the motion presented by the Executive Committee. The vote was unanimous to approve the changes to both the Purchasing Policy and Natural Disaster and Emergency Declarations Policy as recommended by the Executive Committee.**

## **2020-2021 TARGETED OCCUPATIONS AND ELIGIBLE TRAINING PROVIDER LISTS**

Debby Wood stated that due to COVID-19, CareerSource Chipola has not been able to obtain the information needed to present an updated TOL and ETPL. She stated that both Chipola College and Florida Panhandle Technical College have been working diligently to provide the information. Ms. Wood requested approval from the board to extend the 2019-2020 TOL and ETPL through July 31, 2020, to continue serving customers under the existing lists and allow time for the schools and CSC to gather the required information. Richard Williams added that if the requested extension was needed to ensure CSC's ability to enroll individuals in training at both local institutions. **Martha Compton and Darrin Wall declared a conflict of interest and abstained from both the discussion and voting. Donnie Read made a motion to approve the extension of the 2019-2020 TOL and ETPL through July 31, 2020, as requested. Janice Sumner seconded the motion and the vote was unanimous to approve the request.**

## **CHIPOLA COLLEGE REQUEST FOR PROGRAM APPROVAL**

Richard Williams stated that Chipola College needs approval from CareerSource Chipola to continue operating certain programs. He requested that the board approve the chair to sign a letter of support for the programs offered by Chipola College. **Darrin Wall declared a conflict of interested and abstained from both the discussion and voting. Donnie Read made the motion, Mary McKenzie seconded the motion, and the vote was unanimous to allow the chair to sign a letter of support as requested.**

## **COVID-19 NEG PROGRAM**

Richard Williams shared that CareerSource Chipola has received \$150,000 to operate COVID-19 NEG program similar to the Hurricane Michael NWDG program. This funding is to help pay for individuals to work in humanitarian and sanitation roles to aid in ongoing efforts and recovery from COVID-19. Mr. Williams requested permission from the board to move forward with operating the program and accept the funds made available. **Janice Sumner made the motion, Martha Compton seconded the motion, and the vote was unanimous to allow CSC to operate the COVID-19 NEG program as requested.**

## **APPOINTMENT OF NOMINATING COMMITTEE**

Arthur Obar appointed a new Nominating Committee which included: Calhoun County - Raymond Russell, Holmes County - Debbie Kolmetz, Jackson County - Tanya Burt, Liberty County – Donnie Read, and Washington County - Darrin Wall.

## **FLOWER FUND REQUEST**

Richard Williams requested approval from the board to move \$1,000 to the Flower Fund from unrestricted funds. He noted that the Flower Fund is unrestricted funds used to send a plant or flowers to staff and board members when there is an illness, hospitalization, or death. **Mary McKenzie made the motion, Debbie Kolmetz seconded the motion, and the vote was unanimous to grant the request to transfer \$1,000 from unrestricted funds to the Flower Fund.**

## **EXECUTIVE DIRECTOR'S REPORT**

Mr. Williams reported the following:

- CSC has been in ongoing contact with the local health department to ensure reopening procedures are as safe as possible for the protection of CSC staff and customers. He also noted steps CSC has implemented social distancing, contactless service options, and increased sanitation as much as possible.
- CSC is assisting DEO with reemployment assistance by providing PIN resets, entering paper applications, assisting customers statewide by phone, etc.
- CSC established a work-from-home practice in the initial phases of COVID-19. Mr. Williams commended staff at all levels for being flexible and remaining diligent during this time.
- Employers are facing a unique situation of both worrying about layoffs and also needing new hires. While there are many jobs available, there is little interest from individuals in applying for those jobs due to the potential of receiving federal reemployment assistance at a higher rate than a lot of regular wages.
- CSC anticipates a high volume of job seekers back in the system when reemployment job search requirement waivers expire. Welfare Transition clients may increase as well.
- DEO Grantee-Sub Grantee Agreement deadline was pushed back. DEO received over 400 comments and is making changes to the agreement.

## **BOARD MEMBER COMMENTS**

None.

## **ADJOURNMENT**

There being no further business to discuss, the meeting was adjourned.

# National Dislocated Worker Grant

## Hurricane Michael Current Worksites and Workers

<u>County</u>	<u>Active Worksites</u>	<u>Current Workers</u>
Liberty	2	2
Calhoun	4	17
Jackson	9	53
Washington	1	2
<u>Holmes</u>	<u>1</u>	<u>1</u>
<b>TOTAL</b>	<b>17</b>	<b>75</b>
66 - General Laborer 8 - Humanitarian 1 - Recovery Support		
Total Grant Applicants – 372		
Total Temporary Placements – 314		
Total <i>Individuals Placed in Temporary Jobs</i> - 237		



## National Dislocated Worker Grant Covid-19 Current Worksites and Workers

<u>County</u>	<u>Active Worksites</u>	<u>Current Workers</u>
Calhoun	1	4
Jackson	4	8
<u>Washington</u>	<u>1</u>	<u>2</u>
<b>TOTAL</b>	<b>5</b>	<b>14</b>

Covid -19 Workers by Job Title  
10 –Disinfection Specialists  
4 - Humanitarian





## **NOMINATING COMMITTEE MEETING MINUTES**

Via Microsoft Teams/Conference Call  
July 1, 2020 at 9:30 AM (CT)

### **CALL TO ORDER**

A quorum was present, and Arthur Obar called the meeting to order.

#### **The following committee members were present:**

Arthur Obar, Raymond Russell, Donnie Read, Debbie Kolmetz (via conference call)

#### **The following committee members were absent:**

Darrin Wall

#### **Others present included:**

Richard Williams, Lauren Morris (CSC staff)

### **DIRECTOR'S COMMENTS**

Richard Williams informed the nominating committee of the board members that had responded expressing an interest in serving as officers for the 2020-2021 program year.

### **NOMINATIONS FOR CHAIR OF BOARD OF DIRECTORS**

Mr. Obar called for nominations for Chair of CareerSource Chipola's Board of Directors for the 2020-2021 program year. **Raymond Russell nominated current Vice Chair, Janice Sumner. No other nominations were made, and the vote was unanimous to recommend Janice Sumner as Chair for CSC's Board of Directors for the 2020-2021 program year.**

### **NOMINATIONS FOR VICE-CHAIR OF BOARD OF DIRECTORS**

Mr. Obar called for nominations for Vice Chair of CareerSource Chipola's Board of Directors for the 2020-2021 program year. **Raymond Russell nominated current Secretary-Treasurer, Debbie Kolmetz. No other nominations were made, and the vote was unanimous to recommend Debbie Kolmetz as Vice Chair for CSC's Board of Directors for the 2020-2021 program year.**

### **NOMINATIONS FOR SECRETARY/TREASURER OF BOARD OF DIRECTORS**

Mr. Obar called for nominations for Secretary-Treasurer of CareerSource Chipola's Board of Directors for the 2020-2021 program year. **Debbie Kolmetz nominated Donnie Read. No other nominations were made, and the vote was unanimous to recommend Donnie Read as Secretary-Treasurer for CSC's Board of Directors for the 2020-2021 program year.**

### **ADJOURNMENT**

There being no further business to discuss, the meeting was adjourned.

**CareerSource Chipola One-Stop Operator (OSO) Quarterly Review PY 2019-2020 Fourth Quarter**

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**\*WORKFORCE INNOVATION AND OPPORTUNITY ACT (WIOA) BACKGROUND**  
*(\*Located at the End of this Report)*

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**Date of Report Forwarded to CareerSource Chipola: June 29, 2020 Date of On-Site**

**Review: June 25, 2020**

**One-Stop Career Center: 4636 Highway 90, Marianna, Florida 32446**

**Conducted by Linda Sumblin, CareerSource Chipola contracted One-Stop Operator Consultant**

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**CareerSource Chipola Staff Met by One-Stop Operator on June 25, 2020:**

- Richard Williams, Executive Director
- Kenny Griffin, Business Services Director
- Lauren Morris, Administration & Outreach Director (*Briefly*)

*Note: In addition to the mainstream CSC One-Stop services, the One-Stop Operator onsite review for the 2019-2020 Fourth Quarter was also focused on the proposed/adjusted service delivery and administrative implementations due to COVID-19.*

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An *unannounced* One- Stop Operator visit was conducted at the CareerSource Chipola (CSC) One-Stop Center located at 4636 Highway 90, Marianna, Florida on June 25, 2020 by Linda Sumblin, contracted CareerSource Chipola One-Stop Operator. The purpose of the review was to assess the effective delivery of applicable services across program lines and with other organizations as required by WIOA.

*NOTE: Prior to the One-Stop Operator entrance to the CSC facilities, a temperature check (via forehead with instrument not touching the skin) was secured and a series of health screening questions were asked. After satisfactorily answering questions and approved temperature degree, entrance to the CSC facility was permitted. A mask was required to be worn at all times while in the CSC facilities.*

As identified by the US Department of Labor and Florida Department of Labor, the One-Stop areas of the OSO review included: Flow of Services; Hours of Operation; Basic Services Available/Program Delivery; Partner Memorandum of Understandings; Effectiveness. Also included in the review by the OSO were overall general comments.

## CareerSource Chipola – One-Stop Operator PY 2019-2020 Third Quarter Review Summary of Review

### Flow of Services

CSC is a partner of the US Department of Labor American Job Centers and CareerSource Florida established under the Workforce Investment Act, and reauthorized in the [Workforce Innovation and Opportunities Act of 2014](#), which is designed to provide a full range of assistance to job seekers under one roof. CSC offer training referrals, career counseling, job listings, and similar employment-related services to job seekers and the business community as outlined in detail below.

During the June 25, 2020 onsite review, the One-Stop Operator viewed the delivery of services at the CSC Marianna Center One-Stop Center.

*NOTE: As a result of the outbreak of COVID-19 and consideration for the staff and customer health and well-being, the CSC Board/Leadership team are closely reviewing the fluid changes needed for service delivery.*

As experienced during the 2019-2020 Third Quarter One-Stop Operator onsite review, delivery of services hours is monitored to ensure safety and well-being to the CSC staff and customers. ALL staff and customers are required to wear masks and satisfactorily answer a series of health screening questions prior to entrance at the CSC facilities.

In addition to the CSC services offered onsite, the services can be accessed via website 7 days/24 hours. [careersourcechipola.com](http://careersourcechipola.com)

It was noted during my visit to the CSC One-Stop Center that staff assisting customers with program information were knowledgeable of services and professional. It was also noted by the OSO that when the universal customer enters the One-Stop Center, the customers are directed to the Atlas registration/sign-in system. The OSO noted that CSC staff have the expertise to design, administer and deliver all workforce development activities and have demonstrated the ability to adapt and conform to changes in policy, practices and priorities to meet local community and the universal customer-based needs.

Additional (but not limited to) resources/referrals CSC extends (as noted in the CSC Center and CSC website):

Chipola College	Vocational Rehabilitation Tobacco Free
Florida Panhandle Technical College	Florida
Opportunity Florida	

The ATLAS electronic filing system provides access to case file and customer activity information through the internet. The electronic system allows staff to engage customers and provide services. Both virtual and center-based service delivery for job seekers, workers, and employers support the talent needs of the regional economy. In addition, the Atlas system allows the CSC to track customer services as well as “waiting time for CSC services” for monitoring of delivery of services.

**Flow of Services Comments: No suggestions/concerns noted.**

## CareerSource Chipola Marianna One-Stop Center – Hours of Operation

The following notice is posted via the Career Source Chipola website:

### **Our Chipley and Marianna centers are open!**

**We strongly encourage you to make an appointment, but walk-ins are welcome on a first come, first served basis *as space is available*.**

**To reserve a time, give us a call at 850.633.4419 or follow these steps to schedule online:**

1. Visit [careersourcechipola.com/events](https://careersourcechipola.com/events).
2. Find the time you'd like to reserve and click "Attend this Event."
3. Log in with the same information you use to log into the kiosk when visiting our centers in person.
4. If you have never logged in on our kiosks in person, click "Register" in the top right corner of the page.
5. Contact us if you have any questions!

In addition to the adjusted CSC hours of operation, the CSC Executive Director (with approval from the CSF Executive Committee) the following:

- All scheduled Job Fairs/events and related CSC events (i.e. 202 Robotics competition) have been immediately postponed.
- Identified adjusted CSC Center hours are posted via social media and visually posted at the Center.
- CSC are ongoing communicating to customers that access to CareerSource Chipola services regardless of the time of day by using the Internet.

All changes/updates of CSC activities are updated via social media avenues and the CSC website.

*NOTE: As situation dictates, delivery of services will be adjusted as determined as determined by the CSC leadership and Board.*

As noted, implemented following the immediate COVID-19 health crisis (and approved by applicable State/Local entities), the five-day work week (with exception of the CareerSource Chipola recognized holidays) Monday through Thursday from 8:00 a.m. – 5:00 pm and Friday's from 8:00 a.m. through noon will resume.

**Hours of Operation Comments: The CSC Board and Executive Director are commended for the quick action and ongoing and implementation of adjusted/flexible hours/delivery of CSC services due to COVID-19 to ensure the safety and well-being to the CSC staff and customers.**

## Basic Services Available/Program Delivery

CSC Marianna One-Stop Career Center offers a wide range of *job seeker and employer* services including job postings, local and statewide Labor Market Information (LMI), and skill-based job matching available online at Employ Florida Marketplace (EFM) - [www.employflorida.com](http://www.employflorida.com).

As noted in the WIOA Legislative Required Partners matrix below, CareerSource Chipola has established program service delivery methods for requirement WIOA partners. The CSC program systems and partner relationships are well developed and internally reviewed ongoing for enhancement and growth. CSC is to be acknowledged for their positive partnerships and performance outcomes.

Additional (*but not limited to*) resources/referrals CSC extends (as noted in the CSC Center and CSC website):

Chipola College	Vocational Rehabilitation Tobacco Free
Florida Panhandle Technical College	Florida
Opportunity Florida	

CareerSource Chipola is still delivering National Emergency Grant (NEG) services as a result of Hurricane Michael and the needed follow up recovery. Ms. Rose Adams remains on the CareerSource Chipola staff overseeing the National Emergency Grant program. As reported at the March, 2020 CSC Board meeting, the National Dislocated Work Grant, there are 90 participants working across all five CSC counties. There are 30 additional jobs in the pipeline to be filled. Mr. Williams shared that the CareerSource Chipola program is still in full speed with on-going NEG needs identified in the community. There is an identified and documented on-going need for the NEG funding.

As introduced by Executive Director Richard Williams and approved by the CSC Board meeting on November 2019 the campaign ...Chipola Works! CSC and Board are continually advocating the campaign. Chipola Works! is a local campaign and call to action for everyone living in the community to invest back in the region by working locally. The tagline adopted for the Chipola Works! – Living. Working. Locally. Additional information can be located at: [chipolaworks.careersourcechipola.com](http://chipolaworks.careersourcechipola.com)

**Program Delivery Comments: No suggestions/concerns noted.**

## Memorandum of Understandings (MOU)

No CSC MOU changes/edits noted since the previous OSO quarterly review.

As noted in prior OSO reports, the Basic elements/description of services as outlined in legislation of the MOU is included with the CSC MOU's:

- *Method/s for referring individuals*
- *Resolution of MOU Disputes*
- *Duration/Procedures of MOU*
- *Cost Sharing*

A matrix (identifying entity delivery services; MOU execution date; and method of delivery of services) of the required WIOA MOU's is noted below.

## Memorandum of Understandings (MOU) Comments – No suggestions/concerned noted.

### Effectiveness

One of the outlined elements in WIOA legislation for OSO to review the Center “Effectiveness” as defined below.

The CSC OSO based the Effectiveness ranking of the program/delivery of Center services on a Scale of 1-10 with 10 being top performance). Three areas of Effectiveness ranked as defined in the WIOA legislation:

- 1) *Provider job seekers with skills and credentials necessary to secure and advance in employment with wages that sustain themselves and their families;*
- 2) *Provide access and opportunities to job seekers, including individuals with barriers to employment;*
- 3) *Enable business and employers to easily identify and hire skills workers*

### CSC Effectiveness Comments:

- **As a result of the 2019-2020 Fourth Quarter OSO (onsite and review of follow up documents), the One-Stop Operator ranked the current Effectiveness for the CareerSource Chipola at a 9.5 out of a ranking of 10.0.**

### General Comments

- *As ongoing identified need/s (including program and administrative) due to the to COVID-19, the CSC and Executive Director will implement adjustments as applicable.*
- *At the March, 2020 CSC Board meeting, the CSC 2020-2024 Workforce Services Plan was approved.*
- *The CareerSource Chipola 2019-2020 Annual Department of Economic Opportunity monitoring review was conducted June 8-12, 2020.*
- *There have been no new applicable Department of Economic Opportunity Communiques, Administrative Policies, or Memoranda’s posted on their website since the prior OSO onsite review.*
- *It was noted that CareerSource Chipola ranked Number Two in the State for the last reported May 22, 2020 Job Placement Report.*
- *The latest DEO data release, unemployment rate in the CareerSource Chipola region (Calhoun, Holmes, Jackson, Liberty, and Washington counties) was 8.7 percent in May 2020.*

**\*WORKFORCE INNOVATION AND OPPORTUNITY ACT (WIOA) BACKGROUND**

Under the Workforce Innovation and Opportunity Act (WIOA), the vision for one-stop career centers is characterized by providing excellent customer service to job seekers and employers, customer-centered service delivery, and continuous improvement. As defined by Florida guidance, identified One-Stop Centers should strive to assure quality services are being delivered in the most efficient and effective ways possible, through full integration and coordination of one-stop career center partners and resources to support seamless service delivery.

<p><b>Workforce Innovation and Opportunity Act (WIOA)</b></p>	<p>The goals and format of the One-Stop-Operator are set out in Workforce Innovation and Opportunity Act (WIOA) Section 121 subsection (e) according to PUBLIC LAW 113-128—JULY 22, 2014 (WIOA) Sec. 121(d)(2)(A), Federal Regulation 29 U.S.C. §§ 3151 (d) One-Stop operators.</p>
<p><b>One-Stop Operator Role and Responsibilities</b></p>	<p>As outlined and agreed by both parties (CSC and OSO) the 2017-2018 executed OSO contract, was renewed on June 10, 2019 for an additional one-year term for delivery of outlined One-Stop Operator Services for the period PY 2019-2020. The renewed OSO was based on performance, business needs and the availability of funds.</p> <p>As outlined by legislation, the Local Board defines the role and responsibilities of One-Stop career center operators in its local area. The Local Board may vary roles and responsibilities for career centers within its local area.</p> <p>As defined by CareerSource Chipola and agreed by OSO Contractor for this review as: Conduct program year 2019-2020 quarterly unannounced visits to the Marianna Career Center. Within thirty (30) days of the visit provide a report indicating if the Marianna Career Center is effectively delivering services across program lines and with other organizations as required by WIOA. If it is determined the Center is not effectively providing services the report must include recommended actions to be undertaken at the Center to correct any issues. Additionally, as part of the required report, the Contractor may include comments on best practices or suggestions for improvement.</p>
<p><b>CareerSource Chipola Background</b></p>	<p>The Chipola Regional Workforce Development Board, Inc., dba CareerSource Chipola is the administrative entity/grant recipient for the region (<i>serving Calhoun, Holmes, Jackson, Washington, and Liberty Counties</i>). The Chipola Regional Workforce Development Board, Inc., dba CareerSource Chipola has operated as the fiscal agent and administrative entity since 1996 as approved in the approved Interlocal Agreement .</p> <p>The CareerSource Chipola One-Stop and satellite locations are located to population centers, governmental buildings and shopping centers making them convenient locations for most customers. Centers are connected by a wide area network that spans the three locations providing e-mail, Internet access, state systems access, case management, and data/print sharing. The ATLAS electronic filing system provides access to case file and customer activity information through the internet. This electronic system allows staff(s) at any</p>

	<p>location to engage customers and provide services. Both virtual and center-based service delivery for job seekers, workers, and employers support the talent needs of the regional economy.</p>
<p><b>Contracted CareerSource Chipola One-Stop Operator Linda Sumblin, Contractor Execution Date of Renewed Contract: June 10, 2019</b></p>	<p><i>Credentials:</i> Linda Sumblin was formerly employed with the CareerSource Okaloosa Walton since Board inception in 1996. She was named Executive Director October, 2011. Prior to being named the Executive Director, Linda served in many areas for the Board including the Chief Operating Officer/Assistant Director. Linda’s career in workforce development began in January 1989 when she joined the Private Industry Council, the predecessor governing board for job training.</p> <p>As initial contracted OSO for the period July 1, 2017 through June 30, 2018 (<i>with a 2-year renewal as agreed by both parties</i>). Linda Sumblin has declared no conflict of interest with any entities of CareerSource Chipola (Board, staff or partners) and that firewalls have been established to ensure conflict of interest policies and procedures are adhered.</p> <p>It is understood and agreed that the One-Stop Operator cannot assist in the development, preparation and submission of local plans; and that they cannot manage or assist in the competitive process for selecting operators or select or terminate One-Stop Operator, Career Services and Youth Providers.</p>

## CareerSource Chipola Memorandum of Understandings

**NOTE: NO Changes in the MOU's during the One-Stop onsite review. \*Denotes WIOA Legislative Required Partners**

<b>Program</b>	<b>Agency Delivering Services</b>	<b>MOU Date Executed (or latest Addendum)</b>	<b>On Site Delivery of Services/ Delivery of Referral Services</b>
*Title I – Adult	CareerSource Chipola	Cooperative Agreement with Department of Economic Opportunity	On Site
*Title I – Dislocated Worker	CareerSource Chipola	Cooperative Agreement with Department of Economic Opportunity	On Site
*Title I – Youth	CareerSource Chipola	Cooperative Agreement with Department of Economic Opportunity	On Site
*Title II – Adult Education and Literacy Act	Calhoun School Board	Calhoun School Board- 8/14/2017	Electronic/ Direct Referral
	Holmes School Board	Holmes School Board – 9/14/2016	
	Jackson School Board	Jackson School Board – 3/15/2016	
	Liberty School Board	Liberty School Board – 6/07/2016	
	Washington School Board	Washington School Board – 8/14/2017	
*Title III - Wagner Peyser Employment Services	CareerSource Chipola/Department of Economic Opportunity (Jointly Managed)	Cooperative Agreement with Department of Economic Opportunity	On Site
*Title IV – Vocational Rehabilitation	Vocational Rehabilitation	February 2, 2017	Electronic Referral
	Division of Blind Services	December 16, 2016	
*Title V – Older American Act (SCSEP)	National Caucus and Center on Black Aged, Inc. (SEP)	May 15, 2016 Cost Sharing with In-Kind Staffing Support	On Site
*Veterans Employment and Training Services Under Chapter 41 of Title 38, U.S.C.	CareerSource Chipola/Department of Economic Opportunity (Jointly Managed)	Cooperative Agreement with Department of Economic Opportunity	On Site
*Trade Adjustment Assistance Act (TAA) NAFTA Assistance Activities	CareerSource Chipola	Master Agreement with Department of Economic Opportunity	On Site
*Unemployment Insurance ( <i>Note: CareerSource Chipola extends “re-employment assistance”</i> )	Department of Economic Opportunity (No DEO staff onsite to extend UI assistance)	Master Agreement with Department of Economic Opportunity	Electronic/ Telephone Referral
*Temporary Assistance For Needy Families (TANF)	CareerSource Chipola  Healthy Families North Florida	<ul style="list-style-type: none"> <li>• Cooperative Agreement with Department of Economic Opportunity (Funded by DCF)</li> <li>• Department of Children and Family Services – November 9, 2016</li> <li>• Healthy Families North Florida – April 11, 2017 (Cost Sharing Cooperative)</li> </ul>	On Site  Referral

Program	Agency Delivering Services	MOU Date Executed (or latest Addendum)	On Site Delivery of Services/ Delivery of Referral Services
*Post Secondary Vocational Education under Carol Perkins Career and Technical Education (CTE)	Chipola College  Florida Panhandle Technical Center (Washington-Holmes Technical Center)  Calhoun School Board  Holmes School Board  Jackson School Board  Liberty School Board  Washington School Board	Chipola College* – 10/13/2016  Florida Panhandle Technical Center*– 8/14/2017  Calhoun School Board- 8/14/2017  Holmes School Board* – 9/14/2016  Jackson School Board – 3/15/2016  Liberty School Board – 6/07/2016  Washington School Board*– 8/14/2017  <i>*Multi-MOU's for varying programs identified</i>	Electronic/ Direct Referral
*Job Corps	Department of Economic Opportunity	Cooperative Agreement with Department of Economic Opportunity	Electronic/ Direct Referral
*Community Services Block Grant (CSBG)	Tri-County Community Council  Capital Area Community Action Agency	Tri-County - May 17, 2016  Capital Area Community Action Agenda - May 17, 2017	Electronic Referral
*HUD Employment Programs (U.S. Department of Housing and Urban Development)	Tri-County Community Council	May 17, 2016	Electronic/ Telephone Referral
*Migrant and *Seasonal Farm Worker	Department of Economic Opportunity	Cooperative Agreement with Department of Economic Opportunity	Electronic Referral
*Native American Program ( <i>Tribes, Tribal Organization, Native Hawaiians</i> )	Department of Economic Opportunity (No known sector population identified in Area)	Cooperative Agreement with Department of Economic Opportunity	Electronic Referral

It is the opinion of the Chipola CareerSource contracted One-Stop Operator based on legislative review that the Chipola CareerSource Board and staff are acknowledge and have and/or implementing the goals and format of the One-Stop-Operator requirements as set forth in the Workforce Innovation and Opportunity Act (WIOA) Section 121 subsection (e) according to PUBLIC LAW 113–128—JULY 22, 2014 (WIOA) Sec. 121(d)(2)(A), Federal Regulation 29 U.S.C. §§ 3151 (d) One-Stop operators.

*Linda Sumblin*

**Linda Sumblin, One-Stop Operator**

June 29, 2020

**Date**

**AGREEMENT  
BETWEEN CAREERSOURCE CHIPOLA  
AND THE  
FLORIDA DEPARTMENT OF ECONOMIC OPPORTUNITY**

This Agreement is entered into between the State of Florida, Department of Economic Opportunity (“DEO”), and Chipola Regional Workforce Development Board, Inc., d/b/a CareerSource Chipola (“Board” or “Subrecipient”). DEO and the Board are sometimes referred to herein individually as a “Party” and collectively as “the Parties.”

**WHEREAS**, DEO is Florida’s designated state agency for receipt of federal workforce development funds, and is required to carry out the duties and responsibilities assigned by the Governor under each federal grant assigned to DEO; and

**WHEREAS**, the Board is a “subrecipient” of funds (as that term is defined by federal law), and a “recipient” of funds (as that term is defined by state law); and

**WHEREAS**, pursuant to section 121(h) of the Workforce Innovation and Opportunity Act (Pub. L. 113-128) and section 445.009(2)(c), Florida Statutes, DEO and the Board intend for this Agreement to satisfy the requirements that the Board enter into a memorandum of understanding and infrastructure funding agreement with each mandatory or optional partner participating in the one-stop delivery system.

**1. DEFINITIONS AND ACRONYMS.**

- a. **“Board”** means the Local Workforce Development Board
- b. **“CDBG-DR”** means Community Development Block Grant-Disaster Recovery.
- c. **“CFR”** means Code of Federal Regulations.
- d. **“CLEO”** means the Chief Local Elected Official.
- e. **“DCF”** means the Florida Department of Children and Families.
- f. **“FDLE”** means the Florida Department of Law Enforcement.
- g. **“LWDA”** means Local Workforce Development Area.
- h. **“MOU”** means Memorandum of Understanding.
- i. **“NFA”** means Notice of Award/Notice of Fund Availability.
- j. **“RA”** means Reemployment Assistance.
- k. **“SNAP E&T”** means the Supplemental Nutrition Assistance Program Employment & Training program.
- l. **“State Board”** means the State Workforce Development Board.
- m. **“TAA”** means Trade Adjustment Assistance.
- n. **“WIOA”** means the Workforce Innovation and Opportunity Act.
- o. **“WP”** means the Wagner-Peyser Act.
- p. **“WT”** means the Welfare Transition program.

- 2. TERM AND EXPIRATION.** The Effective Date of this Agreement is July 1, 2020. This Agreement ends on June 30, 2021 (the “Expiration Date”), unless otherwise terminated as set forth herein. This Agreement may be renewed or extended for a period of time to be determined by DEO in its sole discretion, and without the Board’s approval, at any time prior to the Expiration Date. This Agreement terminates, supersedes, and replaces any prior agreement in effect between DEO and the Board regarding the subject matter set forth herein as of the Effective Date. The period between the Effective Date and the Expiration Date or the termination date is the “Agreement Period”. Subrecipient is absolutely responsible for all work performed and all expenses incurred in fulfilling the obligations of this Agreement. If the LWDA is redesignated in whole or in part, or the Board is decertified, then DEO may terminate this Agreement. If DEO elects to terminate this Agreement, then DEO will notify the Board and the CLEO of such termination, when the termination becomes effective, and any termination instructions.

**3. FISCAL AND ADMINISTRATIVE CONTROLS.**

- a. DEO will provide funds in consideration for the Subrecipient’s successful performance under this Agreement. The State of Florida’s and DEO’s performance and obligation to pay under this Agreement is contingent upon an annual appropriation by the Legislature of the State of Florida. DEO shall have final authority as to both the

availability of funds and what constitutes an “annual appropriation” of funds. The lack of appropriation or availability of funds shall not create DEO’s default under this Agreement. If there is a state or federal funding shortfall, then the funding otherwise made available under this Agreement may be reduced. The Subrecipient shall not expend funding provided under this Agreement or made available pursuant to any NFA to pay any costs incurred in connection with any defense against any claim or appeal of the State of Florida or any agency or instrumentality thereof (including DEO) or to pay any costs incurred in connection with the prosecution of any claim or appeal against the State of Florida or any agency or instrumentality thereof (including DEO), which the Subrecipient instituted or in which the Subrecipient has joined as a claimant.

b. DEO will make funding available to the Subrecipient by issuing NFAs through DEO’s financial management information system. Each NFA may list or incorporate specific terms, conditions, assurances, restrictions, or other instructions applicable to the funds provided by the NFA. The Subrecipient’s receipt of funding made under an NFA may be conditioned upon the Subrecipient’s successful performance of certain requirements prior to the receipt of such funding. The Subrecipient must comply with all terms, conditions, assurances, restrictions, or other instructions contained within the NFA as a condition precedent to the Subrecipient’s receipt of funding set forth in the NFA. Except as specifically set forth herein, if a conflict between the terms of this Agreement and any NFA, the terms of the NFA shall control.

c. Accountability for Funds.

i. Reduction or Suspension of Funding. DEO may partially, completely, temporarily or permanently, reduce or suspend any funding provided under this Agreement or funding made available pursuant to an NFA, if the Subrecipient fails to comply with all applicable state and federal laws, rules, and regulations, or the terms of this Agreement or any NFA. DEO will exercise its authority to reduce or suspend funding in accordance with the applicable federal and state laws, rules, regulations, and policies.

ii. Recoupment. Notwithstanding anything in this Agreement or any NFA to the contrary, DEO has an absolute right to recoup funds. DEO may refuse to reimburse the Subrecipient for any cost if DEO determines that such cost was not incurred in compliance with the terms of this Agreement. DEO may demand a return of funds if DEO terminates this Agreement.

iii. Overpayments. If the Subrecipient’s (a) noncompliance with this Agreement or any applicable federal, state, or local law, rule, regulation, or ordinance, terms of any NFA, or (b) performance or nonperformance of any term or condition of this Agreement results in (i) an unlawful use of funds; (ii) a use of funds that doesn’t comply with the terms of this Agreement; or (iii) a use which constitutes a receipt of funds to which the Subrecipient is not entitled (each such event an “Overpayment”), then the Subrecipient shall return such Overpayment of funds to DEO.

iv. Discovery of Overpayments. The Subrecipient shall refund any Overpayment of funds to DEO within 30 days of the Subrecipient’s discovery of an Overpayment or receipt of notification from DEO that an Overpayment has occurred. DEO is the final authority as to what may constitute an Overpayment of funds. Refunds should be sent to DEO’s Agreement Manager and made payable to the “Department of Economic Opportunity”. Should repayment not be made in a timely manner, DEO may charge interest at the lawful rate of interest on the outstanding balance beginning 30 days after the date of notification or discovery.

d. By signature below, the Subrecipient certifies to DEO that it has written administrative procedures, processes, and fiscal controls in place for the operation of WIOA, WP, TAA, SNAP E&T, WT, CDBG-DR and any other program for which the Subrecipient receives funds from DEO. The written administrative procedures, processes, and fiscal controls described in this paragraph must, at minimum, comply with applicable state and federal law, rules, regulations, policies, guidance, and the terms of this Agreement. DEO may request copies of the Board’s written procedures and policies for review. As needed, DEO shall provide guidance and technical assistance to the Subrecipient to ensure compliance with this section. If the Subrecipient cannot certify that it has written administrative procedures, processes, and fiscal controls in place for the operation of any program for which it may receive funds at a future date, then as a condition precedent to the award of any funds, the Subrecipient shall establish and adopt such written administrative procedures, processes, and fiscal controls, as necessary for the

applicable program.

- e. By signature below, the Subrecipient certifies that it has written administrative procedures, processes, and fiscal controls in place for the payment of supportive services including, but not limited to prepaid gas or prepaid debit cards. Controls must address issuance, storage, and reconciliation of prepaid gas or prepaid debit cards. The Subrecipient must maintain documentation supporting the eligibility of the receipt of supportive services and that the value of the supportive service is consistent with the documented need of the participant.
- f. The Subrecipient shall manage, maintain, and properly dispose of program and financial records in accordance with governing state and federal laws and regulations.
- g. The Board will appoint at least one Regional Security Officer that is responsible for ensuring the Board's compliance with all information system security matters and system access control for users of DEO-owned systems. The Regional Security Officer will comply with policies and requirements imposed by DEO. The Subrecipient will designate a custodian for purchased property and equipment that will be responsible for ensuring the Subrecipient's compliance with 2 CFR §§ 200.310-200.316.
- h. The Subrecipient is responsible for managing real property and leases for all space utilized in the one-stop delivery system. The Subrecipient and its designated one-stop operator or managing partner shall be responsible for all activities involved in securing space for local career centers, ensuring payment to lessors, and cost allocating rent charges, and otherwise managing leases.
- i. The Subrecipient will comply with all federal and state laws, policies, guidance, plans, or other similar documents produced, approved, or disseminated by DEO, the State Board, or any other entity whose funds are made available to the Subrecipient through DEO. These documents will be made available on DEO's website or distributed to the Subrecipient through other means.
- j. Funds provided to the Subrecipient by DEO may not be used to pay consultants in excess of \$710 per day and must be documented as reasonable and necessary.

#### **4. PERFORMANCE, REPORTING, MONITORING, AND AUDITING.**

- a. DEO may request any information at any time from the Subrecipient. The Subrecipient shall provide any requested information in the form and manner requested by DEO, within the time frame established by DEO, so DEO may review the Board's performance and compliance and compile and submit information to the appropriate parties. The Board shall provide timely electronic data to DEO, via the electronic financial and programmatic data systems established by DEO in order to allow DEO to provide accurate reports to state and federal funding agencies, the State Board, and other interested parties, and to review the Board's fiscal status and performance.
- b. The Subrecipient will comply with the audit requirements set forth in Exhibit A on an annual basis and take prompt corrective action with respect to any audit findings.
- c. The Subrecipient shall allow access to representatives of DEO, DEO's Office of Inspector General and Office of Civil Rights, appropriate representatives from other state and federal funding agencies, and any other entity authorized by law for the purposes of conducting monitoring, reviews, inspections, investigations, proceedings, hearings, or audits (each a "Compliance Review"). The Subrecipient will fully cooperate with any Compliance Review conducted pursuant to this section. Failure to fully cooperate will constitute a material breach of this Agreement and may result in the termination or suspension of this Agreement and any funding provided by DEO. DEO reserves the right to, in its sole discretion, decide what constitutes full cooperation under this paragraph. DEO may exercise its rights under this paragraph at any time and as frequently as DEO deems necessary. The Subrecipient will reimburse DEO for all reasonable costs incurred by DEO for any activity conducted pursuant to this section that results in the suspension or termination of this Agreement. The Subrecipient will not be responsible for costs incurred from activities conducted under this section that do not result in the suspension or

termination of this Agreement. Nothing in paragraph (b) of this section, or Exhibit A, is intended to limit the terms of this paragraph (c).

- d. Annually, the subrecipient shall submit the following information electronically to FMA-RWB@deo.myflorida.com by the deadlines prescribed below:
- Completed Salary Cap by April 1;
  - Annual detailed budget of revenues and expenditures by funding source by October 1; and
  - Completed Internal Control Questionnaire signed by Board Chair and Executive Director by September 30.
- e. The State Board and DEO have established special guidelines concerning audit quality as guidance for the Board. For the procurement of the audit services, the Board must procure these services in accordance with Florida Statutes. As part of these guidelines, the Board is also required to communicate to their independent auditors (auditor) the following procedures that must be performed:
- i. It is essential that the auditor test the Board's reconciliation of its financial records to the Subrecipient Enterprise Resource Application (SERA) maintained by DEO. The auditor should include a note to the financial statements confirming whether such a reconciliation was performed by the Board in a satisfactory manner.
  - ii. Auditors are required under federal audit guidelines to test compliance with federal cash management requirements and to report any material problems. However, the State Board and DEO have established state level guidance for cash management that should also be tested. The auditor should review the key guidelines contained in the SERA Manual produced by DEO concerning cash management, especially the criteria for Allowable Cash on Hand, and conduct the appropriate tests of compliance.
  - iii. It is required that auditors always prepare and submit a management letter for those findings and observations not included in the audit report, as opposed to providing only a verbal briefing. The Board must prepare a written statement of explanation or rebuttal, including corrective actions to be taken, concerning the deficiencies cited in the management letter. NOTE: If a management letter is not present, this should be stated in the schedule of findings and questioned costs.
  - iv. All funds overseen, managed, or administered by the Board must be included in the scope of the audit and within the audited financial statements. This includes funds that are provided to any auxiliary entity over which the Board or Board's leadership exercises any controlling influence, such as a foundation or an association. For purposes of this guidance document, all foundations, associations, or other similar entities are considered to be affiliated organizations and, in some instances, may need to be classified as a component unit.
  - v. For any affiliated organization, at a minimum the audit report should disclose the entity's mission or purpose; any and all controlling members; summarized financial data including total assets, liabilities, net assets, revenues, expenditures; sources of all revenues; the entity's relationship to the Board's activities; and a statement that the activities of the entity comply with Federal Regulations and Florida Statutes, as applicable. The auditor may need to provide other disclosures and presentations (such as consolidated financial statement) as appropriate after giving proper consideration of applicable accounting standards pronouncements regarding reporting of related entities.
  - vi. The auditor should state in the Report on Compliance and Internal Control over Compliance Applicable to Each Major Federal Awards Program that the audit was conducted in accordance with the special audit guidance provided by the DEO.
  - vii. The Board must limit the audit services to no more than five years and then must follow Florida Statutes and its own policies to competitively re-procure these services. The previous audit firm may

be awarded the new contract for audit services through the competitive procurement if the lead partner of the audit firm had not been engaged with the Board for any of the previous five years.

- f. DEO will meet at least annually with the CLEO and the Board to review the Board's performance and compliance and will notify the Board's Chief Executive Officer and CLEO in writing of any findings, deficiencies, recommendations, or other areas of concern. The Board's failure to meet its negotiated level of performance or its failure to comply with state and federal laws, regulations, standards or the terms of agreements between the Board and DEO may constitute grounds for corrective measures, sanctions and remedies, consistent with WIOA and any policies of DEO or the State Board. DEO may require corrective measures be taken in accordance with a Performance Improvement Plan, or other appropriate action, developed by DEO. The Board's failure to comply with the terms of any Performance Improvement Plan or other appropriate action will constitute a material breach of this Agreement, may result in the suspension or termination of this Agreement, the reduction or withholding of funding provided under this Agreement, or any other sanction or remedy available to DEO by law.

**5. THE BOARD'S ONE-STOP DELIVERY SYSTEM.** The Board shall operate at least one physical comprehensive career center with access to partner programs, services, and activities in accordance with 20 CFR 678.300(c) and 678.305. The Board shall designate a one-stop operator in accordance with 20 CFR 678.605-678.625, Section 445.009, F.S., and applicable policies, including the following one-stop delivery system requirements:

- a. Each partner program in the Board's career centers will contribute to infrastructure costs at a rate negotiated and agreed upon by the Parties, or pursuant to a policy established by the Governor. The following infrastructure elements, set forth specifically in 20 CFR 678.755, must be incorporated into the period of time in which the infrastructure funding agreement is effective. This may be a different time period than the duration of the MOU.
- b. Identification of an infrastructure and shared services budget that will be periodically reconciled against actual costs incurred and adjusted accordingly to ensure that it reflects a cost allocation methodology that demonstrates how infrastructure costs are charged to each partner in proportion to its use of the career center and relative benefit received, and that complies with 2 CFR part 200 (or any corresponding similar regulation or ruling).
- c. Identification of all career center partners, chief local elected officials, and Board participating in the infrastructure funding arrangement.
- d. Steps the Board, chief local elected officials, and career center partners used to reach consensus or an assurance that the local area followed the guidance for the State funding process.
- e. Description of the process to be used among partners to resolve issues during the MOU duration period when consensus cannot be reached.
- f. Description of the periodic modification and review process to ensure equitable benefit among one- stop partners.
- g. The Board shall incorporate infrastructure funding provisions in each memorandum of understanding with its one-stop partners. Remedies for nonperformance must also be included.

**6. SERVICES DELIVERED BY DEO STAFF WITHIN THE BOARD'S ONE-STOP DELIVERY SYSTEM.**

- a. Certain workforce program services will be performed by DEO staff assigned to work under the functional supervision and direction of the Board. These services include WP services, TAA services, services to veterans, services to migrant and seasonal farmworkers, and other workforce services as agreed upon by the Parties. The provision of these services will be consistent with applicable federal and state law, rules, regulations, policies, and guidance, and State Board policies. The Board will refer any question or conflict regarding management of DEO staff to DEO for resolution.
- b. The Parties shall maintain a staffing structure chart describing each career center site location, the designated one-stop operator or managing partner at the site location, all DEO staff placed at the site location, and the position

classification and program assignment for each DEO staff member working at the site location. The Board must provide a copy of the staffing structure in an organizational chart to DEO Human Resources annually by July 1 or within 30 days upon changes to the organizational structure. All necessary changes to the staffing structure chart will be made by the Parties in a timely fashion.

- c. The Board will provide DEO information and recommendations regarding the performance of DEO staff assigned to the Board pursuant to a procedure developed and implemented by the Parties. The Board shall exercise due care with respect to its submission of information concerning the performance of DEO staff. DEO will act on the information provided by the Board, but the ultimate decision for any personnel action remains with DEO.
- d. DEO staff assigned to the Board are subject to all statutes and rules applicable to State Personnel System employees and all DEO policies - including DEO's travel, leave, and time distribution policies. DEO staff assigned to the Board will be required to obtain their local manager's approval prior to taking leave.
- e. The Board shall consult with DEO with regard to any issues that may affect, or be in conflict with, the terms or conditions of the collective bargaining agreement for any DEO staff holding positions covered by a collective bargaining agreement. DEO will provide guidance to the Board upon request for the purpose of ensuring compliance with terms of any applicable collective bargaining agreement.
- f. DEO retains ultimate decision-making authority with respect to wages, salary, benefits, hiring, firing, discipline, and promotion of DEO staff.
- g. The Board will appoint a local personnel liaison for the purpose of coordinating personnel related activities for DEO staff. The personnel liaison must be a DEO staff member. The Board will provide the name and contact information of the designated personnel liaison to the DEO Human Resource Office upon designation of this staff member and thereafter annually or upon changes in the designated staff member.
- h. The Board shall jointly plan with DEO for the use of resources available to each partner to ensure a coordinated and efficient approach to the delivery of customer services. The Board will provide the services outlined in section 445.009, Florida Statutes. The Board will also provide basic and individualized career services pursuant to section 134(c)(2) of WIOA, access to training services pursuant to section 134(c)(3)(D) of WIOA, access to programs and activities carried out by the Board's partners listed in 20 CFR 678.400 through 678.410, including the Employment Service program authorized under WP, as amended by WIOA Title III, services to employers as outlined in 20 CFR 680.140(b)(2), and workforce and labor market information. For clarification purposes, "basic career services" are referred to as "core services" in section 445.009(6)(a)(c), Florida Statutes, and "individualized career services" are referred to as "intensive services" in section 445.009(7), Florida Statutes.
- i. The Board will develop methods for referring individuals between its one-stop operator(s) and its partners for appropriate services and activities.

## **7. OPEN GOVERNMENT AND CONFIDENTIALITY.**

- a. The Board is subject to Chapters 119 and 286 of the Florida Statutes. The Board is responsible for responding to public records requests and subpoenas. The Board is responsible for ensuring that its staff and agents have a working knowledge of Chapter 119, Florida Statutes. The Board agrees to appoint a public records coordinator for the purpose of ensuring that all public records matters are handled appropriately.

**b. IF THE BOARD HAS QUESTIONS REGARDING THE APPLICATION OF CHAPTER 119, FLORIDA STATUTES, TO THE BOARD'S DUTY TO PROVIDE PUBLIC RECORDS RELATING TO THIS AGREEMENT, CONTACT DEO'S CUSTODIAN OF PUBLIC RECORDS** by telephone at 850-245- 7140, via e-mail at [PRRequest@deo.myflorida.com](mailto:PRRequest@deo.myflorida.com), or by mail at Department of Economic Opportunity, Public Records Coordinator, 107 East Madison Street, Caldwell Building, Tallahassee, Florida 32399- 4128.

- c. The Board will have access to varying types of confidential information as a result of its performance under this Agreement. The Board will protect the confidentiality of any information to which it has access in accordance with applicable law. The Board will obtain guidance from DEO with respect to confidentiality matters. DEO will facilitate the Board's requests for guidance from other state agencies.
- d. Staff of the Board, its agents, contractors, subcontractors and any other entity performing services on behalf of the Board granted access to workforce information systems, including systems containing confidential information, must complete Exhibit B to this Agreement, "Individual Non-Disclosure and Confidentiality Certification Form," prior to accessing said workforce information systems. A copy of each completed form must be retained by the Board and made available to DEO upon request.
- e. Board requests for DEO data must come from Board executive staff to DEO. DEO will not accept data requests from the Board's contractors. DEO will only grant access to DEO-owned systems to staff of the Board, its agents, contractors, subcontractors and entities performing services on behalf of the Board.
- f. DEO may provide the Board access to RA information on an ongoing basis as a result of the Board's use of shared information systems and the provision of integrated services. Access to such information will typically be at no cost (any cost imposed by DEO will be reflected in a separate agreement between the Parties). Certain RA information is made confidential by section 443.1715, Florida Statutes, and 20 CFR 603.9(b)(1) requires the Board to agree to the following terms as a condition of accessing this information. DEO will immediately suspend or cease providing the Board access to RA information if DEO determines the Board is not in compliance with section 443.1715, Florida Statutes, 20 CFR 603, and the conditions set forth below. DEO may, in its sole discretion, provide access once DEO is satisfied that the Board has cured the deficiency. The Board shall:
  - i. use the information it receives only for purposes authorized by law and consistent with this Agreement;
  - ii. store the information, whether physically or electronically, in such a manner that is secure from unauthorized access;
  - iii. ensure the information is only accessible by authorized individuals that have an actual need to access the information for a legitimate and lawful purpose;
  - iv. ensure that any entity to which the Board further discloses the information complies with these terms;
  - v. not store the information on any portable storage media device (e.g., laptops, external hard drives, thumb drives, iPads, tablets, or smartphones, etc.);
  - vi. to the extent practicable, and considering the arrangement in place under this Agreement (shared information systems), destroy the information after the purpose for which it is disclosed is accomplished in accordance with 20 CFR 603.9(b)(1)(vi). However, the Board may not seek to delete information from DEO's information systems;
  - vii. to the extent practicable, and considering the arrangement in place under this Agreement, maintain a system sufficient to allow DEO to conduct an audit of transactions concerning the information;
  - viii. ensure all individuals obtaining access to the information are aware of the penalties established by section 443.1715, Florida Statutes, and acknowledges that all individuals have been so instructed through the execution of this Agreement; and
  - ix. allow DEO or its representatives access to conduct onsite inspections to ensure the Board's compliance with section 443.1715, Florida Statutes.
- g. The Board will immediately notify DEO of any breach of security, as defined by section 501.171, Florida Statutes, occurring in any operation under its control. If the breach of security concerns data belonging to DEO, DEO reserves the right to determine whether the provisions of section 501.171, Florida Statutes, apply. DEO will determine if notifications are necessary and, if so, the procedure for making, and the content included in, those notifications. The Board will provide the notifications if deemed necessary by DEO and will not provide said notifications without prior approval from DEO. DEO will not unreasonably withhold approval to send notifications and will make all decisions regarding said notifications as quickly as possible and consistent with the timelines in section 501.171, Florida Statutes. The Board is responsible for all fees and costs incurred due to a breach of security occurring in an operation, program, or physical setting under the Board's control, including, but not limited to, the cost of sending breach notifications.

## 8. BACKGROUND SCREENINGS.

### a. Level 1 Screenings.

- i. The Board will require and obtain a Level 1 background screening as a condition of employment or contract award for all Board, career center staff, contractors, and subcontractors. Additionally, the Board will require and obtain a Level 1 background screening for all individuals performing financial management activities. The Level 1 background screening must be conducted prior to employment or, for contract awards, prior to contractor's employees beginning work. The Level 1 background screening must be conducted at least every five years of consecutive employment, and upon re-employment in all circumstances (including assignment to a new or different contract for Board contractors). The Board will develop a policy for implementing background screenings.
- ii. The Level 1 background screenings are further explained in section 435.03, Florida Statutes. The Board will contract with an FDLE-approved provider to perform the Level 1 background screenings. The Board is responsible for all costs associated with obtaining the Level 1 background screening described in this section.
- iii. The Board will maintain its background screening material in a locked file cabinet or other secure location and store the material separately from any official employee personnel file. The Board will protect the confidentiality of the screening materials as required by law or contract.
- iv. The Board is responsible for maintaining a current list of all individuals for whom it has obtained a Level 1 background screening. The list must include, but need not be limited to, the name of the individual, the last four digits of the individual's social security number, the date the screening was completed, the date the results of the screening were reviewed, and the individual responsible for reviewing and approving the employment or access granted to the individual that was the subject of the screening.

### b. Level 2 Screenings.

- i. The Board shall identify and disclose to DEO all Board staff positions that may be granted access to confidential data, including confidential data stored in the information systems used by workforce service providers to manage and report participant information. The Board must review all Board staff positions to determine if the positions should be designated as a position of Special Trust. Positions determined by DEO to be positions of special trust, and all employees placed or considered for placement in a Board Special Trust Position must undergo a Level 2 background screening as set forth more specifically below. For all Board Special Trust Positions, only a Level 2 background screening is necessary.
- ii. Level 2 background screenings are necessary to ensure individuals with criminal convictions or individuals that are under criminal investigation or become under criminal investigations related to theft, fraud, forgery, embezzlement, crimes of violence or any similar matters are not approved for access to confidential information. This includes individuals who plea or pleaded nolo contendere or no contest to such charges or offenses; negative information of this type may disqualify a person from being granted access to confidential information under this Agreement. The Level 2 background screenings must include a state and National Criminal Information Center check through the Federal Bureau of Investigations with no negative results to the above type of offenses/convictions.
- iii. For Board employees that have not had a Level 2 background screening within the past five years and who are currently employed in a Board Special Trust Position, the Board shall transmit a list of those employees in the method prescribed by DEO, in form and substance acceptable to DEO, within 45 days after request by DEO. DEO and the Board shall coordinate to establish a timeline to conduct all level 2 background screenings for current Board employees in a Board Special Trust Position. If the Board intends to place a new employee in a Board Special Trust Position, then the Board shall require that employee undergo a Level 2 background screening prior to any offer of employment. The Level 2 background screening must be conducted at least every five years of consecutive employment and upon re-employment in all circumstances.
- iv. State merit staff shall undergo Level 2 background screenings pursuant to the standards specified in section 435.04, Florida Statutes, as a pre-condition of employment. DEO will assist the Board in obtaining state merit staff the required Level 2 background screenings pursuant to DEO's established processes

and procedures. The Level 2 background screening must be conducted at least every five years of consecutive employment and upon re-employment in all circumstances.

## **9. LOCAL PLAN AND ASSURANCES.**

- a. The Board must submit and receive approval of local plans which outline the Board's delivery and administration of all workforce services delivered within its LWDA. The plan must identify and describe the policies, procedures, and local activities that are carried out in the LWDA consistent with the state plan and must contain all content required by DEO. Further, the plan must describe the Board's methods for ensuring the needs of workers and youth, and individuals with barriers to employment, including individuals with disabilities, are addressed in the provision of necessary and appropriate access to services, including access to technology and materials, made available through the one-stop delivery system. The Board will continue to develop and update its local plan in accordance with applicable provisions of law and as directed by DEO or the State Board.
- b. Executive Order 11-116, signed May 21, 2011, by the Governor of Florida, requires DEO to use the U.S. Department of Homeland Security's E-Verify system. The Board shall utilize the E-Verify system to verify the employment eligibility of all new employees after the Effective Date. After the Effective Date, and for all current employees, prior to any promotion or during that employee's Level 1 or Level 2 background rescreening, the Board shall use the E-Verify system.

## **10. PROCUREMENT.**

- a. If the Board enters into a contract in the amount of \$1,000,000 or more, in accordance with the requirements of section 287.135, Florida Statutes, the Board will obtain a certification that the contractor is not listed on the Scrutinized Companies that Boycott Israel List or is engaged in a boycott of Israel, the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, engaged in business operations in Cuba or Syria, or meets the conditions for exemption as provided in section 287.135(4), Florida Statutes. These lists are created pursuant to sections 215.4725 and 215.473, Florida Statutes. The Board certifies that it is in compliance with this provision. Upon request, DEO will provide a form the Board may utilize in connection with any procurement for the purposes of ensuring compliance with this paragraph. If federal law ceases to authorize the states to adopt and enforce the contracting prohibition described in this paragraph, this paragraph will be null and void.
- b. If the Board is affiliated with a local government entity, it will ensure compliance with section 287.133(2)(a), Florida Statutes. Any person or affiliate, as defined by that section of the Florida Statutes, placed on the convicted vendor list following a conviction for a public entity crime may not submit a response to any solicitation for the provision of goods or services to the Board. The Board will not accept any solicitation response from such an entity and will not award a contract in excess of \$35,000 for a period of 36 months from the date an entity is placed on the convicted vendor list. Upon request, DEO will provide an attestation form the Board may utilize in connection with any procurement for the purposes of ensuring compliance with this paragraph.
- c. The Board will not accept responses to procurement solicitations from, or award a contract to, any entity that appears on the discriminatory vendor list described in section 287.134, Florida Statutes. DEO recommends the Board include a clause in all procurement solicitations and contracts that the respondent or contractor is not on the state's discriminatory vendor list.
- d. DEO encourages the Board to seek goods and services through the Prison Rehabilitative Industries and Diversified Enterprises, Inc. (PRIDE), and from RESPECT of Florida (products and services produced by individuals with disabilities).
- e. The Board will obtain prior written approval from DEO prior to purchasing any information technology resource or conducting any activity that will, in any way, access DEO data and DEO-owned systems. To ensure statewide efficiency of funding, prior approval from DEO must also be obtained prior to requesting any changes or enhancements to Employ Florida.

- f. The Board shall comply with the procurement standards in 2 CFR 200.318 - 200.326 when procuring property and services under this Agreement. The Board shall impose its obligations under this Agreement on its contractors, specifically or by reference, so that such obligations will be binding upon each of its contractors. The Board shall maintain oversight of all activities under this Agreement and shall ensure that for any procured contract or agreement, its contractors perform according to the terms and conditions of the procured contracts or agreements, and the terms and conditions of this Agreement.
- g. The Board may not purchase memberships or enter into any agreements with the Florida Workforce Development Association with funds provided by DEO. The Board may pay for registration dues in conjunction with training, including but not limited to the annual Summit provided by the Florida Workforce Development Association.
- h. Funds expended for events must be compliant with 2 CFR 200.421, and DEO's Guidance on Use of Funds for the Purchase of Outreach/Informational Items (FG-OGM-84). Documentation must be retained to support the cost of the funds expended and must demonstrate that the costs are reasonable and necessary to connect individuals to employment and training services.

## **11. COMPENSATION AND TRAVEL.**

- a. Funds provided by DEO may not be used to fund the salary, bonus, or incentive of any employee in excess of Federal Executive Level II, regardless of the funding source.
- b. No changes to compensation for executive staff of the Board are allowed without documented Board approval and must be in alignment with local policies and procedures. The Board shall ensure that all bonuses, pay raises, and benefits are reasonable and necessary for the successful performance of the award and are a prudent use of federal funds.
- c. The Board shall comply with section 445.007(10), Florida Statutes, and the following per diem and travel expense provisions, consistent with section 112.061, Florida Statutes:
  - i. Board members may receive reimbursement for per diem and travel expenses pursuant to section 112.061, Florida Statutes.
  - ii. Lodging expenses for an employee of the Board may not exceed the daily limit for that of employees of the State of Florida, excluding taxes and fees, unless the Board is participating in a negotiated group rate discount or the Board obtains and maintains documentation of at least three comparable alternatives demonstrating that such lodging at the required rate is not available. However, an employee of the Board may expend his or her own funds for any lodging expenses over the limit for employees of the State of Florida.
  - iii. The Board shall ensure that travel and expense reimbursements made to vendors and subrecipients are in accordance with the Board's travel and expense policy. The Board's travel and expense policy must ensure that vendor reimbursements are made at the lowest possible cost necessary to ensure a reasonable level of service, comfort, and security.

## **12. BOARD GOVERNANCE, RESPONSIBILITIES, AND TRANSPARENCY.**

- a. The Board shall ensure that the local area designation complies with the requirements outlined in the federal law (WIOA) and applicable state policy.
- b. The following information must be posted on the Board's website in a manner easily accessed by the public:
  - i. Notice of all Board meetings at least seven days before the meeting is to occur. Notice of special board meetings must be posted at least 72 hours before the meeting is to occur.
  - ii. Employee positions and salary information for each position (including any benefits and performance bonuses).
  - iii. A plain language version of any contract that is estimated to exceed \$35,000 with a private entity, municipality, city, town, or vendor of services, supplies, or programs, including marketing, or for the

purchase or lease or use of lands, facilities, or properties.

- iv. A list of all Board members, company or entity that the Board member is employed by or owns, and their terms of service.
  - v. Interlocal agreement(s), as applicable
  - vi. Single Audit for the last two years.
  - vii. Board meeting minutes within 15 days of Board approval.
  - viii. All active agreements with another board that delegates partial or complete responsibility for any duties the Board is expected, required, or mandated to perform under this Agreement or WIOA, even if the cost is not expected to exceed \$35,000.
- c. The Board shall comply with the requirements of 2 CFR 25 Universal Identifier and System for Award Management (SAM). The Subrecipient must have an active registration in SAM in accordance with 2 CFR part 25, appendix A, and must have a Data Universal Numbering System number. The Subrecipient must also comply with provisions of the Federal Funding Accountability and Transparency Act, which includes requirements on executive compensation and 2 CFR 170 Reporting Subaward and Executive Compensation Information.
- d. In compliance with sections 39.201 and 415.1034, Florida Statutes, if the Board, its agents, employees, contractors, subcontractors or any other entity performing the services on behalf of the Board, knows or has reasonable cause to suspect that a child, aged person, or disabled adult is or has been abused, neglected, or exploited, the Board agrees to immediately report such knowledge or suspicion to the Florida Abuse Hotline by calling 1-800-96ABUSE, or via the web reporting option at <http://www.dcf.state.fl.us/abuse/report>, or via fax at 1-800-914-0004.
- e. Consistent with 2 CFR 200.113, the Board must, within one business day of discovery, disclose any violation of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Additionally, the Board shall disclose any other on-going civil or criminal litigation, investigation, arbitration, or administrative proceeding upon execution of this Agreement.
- f. For all funds provided by DEO, when issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with federal money, the Subrecipient shall clearly state (i) the percentage of the total costs of the program or project which will be financed with federal money; (ii) the dollar amount of federal funds for the project or program; and (iii) the percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources. Consolidated Appropriations Act of 2018, Pub. L. No. 115-141, 132 Stat. 348, div. H, Title V, Sec. 505 (Mar. 23, 2018).
- g. In compliance with section 286.25, Florida Statutes, the Board will ensure any nongovernmental organization which sponsors a program financed, in whole or in part, with funds provided under this Agreement will, in publicizing, advertising, or describing the sponsorship of the program, state: "Sponsored by (entities name) and the State of Florida, Department of Economic Opportunity." If the sponsorship reference is in written form, the words "State of Florida, Department of Economic Opportunity" will appear in the same size letters or type as the name of the entity.

### 13. ETHICS.

- a. The Board shall adopt an employee ethics code modeled after the provisions of Chapter 112, Florida Statutes, and shall name a Chief Ethics Officer. The Officer shall be responsible for the periodic training of Board staff and for maintaining the Ethics Code and for, which addresses:
- i. The acceptance of gifts;
  - ii. Self-dealing;
  - iii. A prohibition on unauthorized compensation;
  - iv. Conflicting employment or contractual relationships;
  - v. Appropriate disclosure and use of information; and
  - vi. Nepotism.

- b. The Board will adopt and abide by a conflict of interest policy that ensures compliance with state and federal law and applicable State Board and DEO policies. The Board will make reasonable modifications to the policy if requested by DEO. The Board must ensure that adequate firewalls are in place to prevent actual or perceived conflicts of interest, poor internal controls, or the appearance of impropriety.
- c. The Board must ensure grievance procedures and Equal Opportunity representation, consistent with 20 CFR 683.285, is available and made known to staff, participants, and other interested parties in the local workforce development system. The Board must also adopt a whistle blower policy that facilitates the reporting of violations of policy or law without fear of retaliation.
- d. The Board will comply with sections 11.062 and 216.347, Florida Statutes. The Board will not, in connection with this or any other agreement with the state, directly or indirectly: (1) offer, confer, or agree to confer any pecuniary benefit on anyone as consideration for any state officer or employee's decision, opinion, recommendation, vote, or other exercise of discretion, or violation of a known legal duty; or (2) offer, give, or agree to give to anyone any gratuity for the benefit of, or at the direction or request of, any state officer or employee. For purposes of this paragraph, "gratuity" means any payment of more than a nominal monetary value in the form of cash, travel, entertainment, gifts, meals, lodging, loans, subscriptions, advances, deposits of money, services, employment, or contracts of any kind. DEO encourages the Board to contact the Florida Commission on Ethics for any questions regarding its compliance with this paragraph.
- e. Prohibition on Lobbying. The Subrecipient shall not, directly or indirectly, expend either state or federal funds either (i) for the purpose of lobbying any branch, unit, or instrumentality of the state or federal governments, or (ii) for any otherwise allowable purpose which could result in unauthorized lobbying.

#### **14. LOCAL BOARD COMPOSITION, BOARD MEMBER SELECTION AND TRAINING.**

- a. The Board must ensure that the local workforce board composition is compliant with all federal and state laws, polices, procedures, and rules.
- b. The Board, in consultation with the CLEO, must develop and implement clear processes and procedures for recruiting, vetting, and nominating Board members and documenting their qualifications in alignment with the requirements of WIOA, and compliant with all federal and state laws, polices, procedures, and rules.
- c. The Board shall prohibit any Board staff from serving as members of a committee or subcommittee of the Board.
- d. The Board shall ensure Board members complete mandatory Board orientation and training. The Board shall take all reasonable steps necessary to encourage attendance by the CLEO at Board orientation and training. The Board shall retain and provide to DEO upon request the dates of training and sign-in sheets (or other evidence of attendance) of training participants.

#### **15. RELATED PARTIES.** The purpose of this section is to help DEO ensure transparency and accountability, to prevent impropriety or the appearance of impropriety in public business, and to limit the possibility of the improper expenditure of state or federal funds.

- a. Related Parties. For purposes of this Agreement, "Related Party" includes any: Board member; Board employee or staff; relative of any Board member or employee or staff; any organization represented by or employing a Board member or employee or staff; any organization, the board of directors of which a Board member or employee or staff holds a board position; or any vendor with which a Board member has a relationship.
- b. Related Party Contract. For purposes of this Agreement, "Related Party Contract" means any relationship, transaction, or expenditure, contractual in nature, which results in or could result in an expenditure of state or federal funds by the Board with a Related Party. The term "Related Party Contract" does not include retail purchases made in the ordinary course of business or payments for utility services.

- c. Related Parties Compliance. The Board shall comply with section 445.007(11), Florida Statutes. The Board and its employees must annually disclose to DEO any conflicts of interest that may arise during the upcoming year, or that actually arose in the current year and were not previously disclosed.
  - i. Prior to entering into any Related Party Contract with any Related Party, the proposed Related Party Contract must be brought before the Board for consideration and approval. The Board shall ensure that:
    - (i) the Board member or employee with the conflict removes himself or herself from the room prior to any discussions at any meeting, including subcommittee meetings, involving the contract; (ii) the Board member or employee with the conflict is not physically present during the voting; and (iii) the Board member with the conflict abstains from any vote regarding the Related Party Contract.
  - ii. If the disclosure was not made prior to the meeting because the conflict was unknown prior to the meeting, the Board shall ensure that disclosure is made at the next possible meeting after knowledge of the conflict becomes available.
- d. Completion of Forms. For each Related Party Contract, the Board must ensure that the forms attached hereto as Exhibits C and D are completed, dated, executed, and certified prior to execution of the contract or incurring of expenditures for the current fiscal year. Exhibits C and D must be submitted at or before the Board meeting in which the vote is to take place for board members and employees of the board who have any conflict of interest with the contracting vendor. For conflicts unknown at the time of entering into the Related Party Contract, the Board shall ensure that completed forms of Exhibits C and D are filed within 15 days after the disclosure with the person responsible for recording the minutes of the meeting. The disclosure shall be incorporated into the minutes of the meeting at which the oral disclosure was made. If the Related Party Contract was approved by the Board in the current or previous fiscal year and the Board intends to continue the Related Party Contract, Exhibits C and D must be submitted annually to DEO for approval prior to the beginning of the next fiscal year.
- e. Contracts \$25,000 or Greater. DEO may disapprove, in its sole discretion, any contract for the Board's failure to submit any required document or form as required by this section. Prior to execution of any contract equal to or greater than \$25,000, the Board must approve and electronically submit the documentation set forth below, along with completed copies of the forms attached hereto as Exhibits C and D, to [WorkforceContract.Review@deo.myflorida.com](mailto:WorkforceContract.Review@deo.myflorida.com).
- f. Contracts Less Than \$25,000. Within 30 days after execution of any contract less than \$25,000, the Board must approve and electronically submit a certified board membership roster listing all members on the Board at the time of the vote on the approval of the contract with a vote tally indicating attendance or absence at the meeting. For those in attendance, the affirmative and negative votes and abstentions for each member, along with completed copies of the forms attached hereto as Exhibits C and D, must be submitted to [WorkforceContract.Review@deo.myflorida.com](mailto:WorkforceContract.Review@deo.myflorida.com).

## 16. ADDITIONAL PROVISIONS.

- a. This Agreement will be construed, performed, and enforced in all respects in accordance with the laws, rules, and regulations of the State of Florida. Each Party will perform its obligations herein in accordance with the terms and conditions of the Agreement. The exclusive venue of any legal or equitable action that arises out of or relates to this Agreement will be either the Division of Administrative Hearings or the appropriate state court in Leon County, Florida. In any such action, the Parties waive any right to jury trial.
- b. Should any term of this Agreement conflict with any applicable law, rule, or regulation, the law, rule, or regulation will control over the provisions of this Agreement.
- c. The Board is fully liable for its actions, and the actions of the Board's officers, agents, contractors and employees. The Board will indemnify, defend, and hold harmless the state, the State Board, and DEO, and their respective officers, agents, and employees from any suit, action, damage, judgment, and costs of every name and description, including attorney's fees, arising from or relating to any action of the Board.
- d. If any provision of this Agreement, whether in whole or in part, is held to be void or unenforceable by a Court of

competent jurisdiction, that provision will be enforced only to the extent that it is not in violation of law or is not otherwise unenforceable, and all other provisions will remain in full force and effect.

- e. This Agreement may be executed in counterparts, each of which will be an original and all of which will constitute but one and the same instrument.
- f. Any amendment or modification to the terms of this Agreement must be in written form signed by both Parties.
- g. Annually before July 1 of each state fiscal year, the Board shall adopt a schedule of operations for the upcoming state fiscal year. Such schedule of operations shall include, but is not limited to, daily hours of operation of one-stop operators, and a holiday closure schedule which adopts either the federal, state, or appropriate county holiday schedule. If the Board has a career center that is affiliated with a college or university, the college or university schedule may be adopted for those centers. The proposed schedule must be approved by the Board and posted on the Board's website in a conspicuous, easily-accessible manner. The Board must give prior approval to any deviations from the schedule, except in emergency or reasonably unforeseeable circumstances (e.g., an order of the President or Governor, total loss of facilities from a catastrophic natural or man-made disaster, etc.). If emergency circumstances exist which result or could foreseeably result in a shutdown, the Board shall ensure that DEO and the State Board are informed within 48 hours of such shutdown or potential shutdown.

**17. SERVICES TO INDIVIDUALS WITH DISABILITIES.** The Board shall designate at least one staff member for the LWDA to promote and develop employment opportunities for individuals with disabilities to ensure that job counseling and placement efforts are made for such individuals.

**18. SERVICES TO INDIVIDUALS WITH LIMITED ENGLISH PROFICIENCY.** The Board shall establish a policy and procedure for providing free language services to customers that have a limited ability to read and/or speak the English language.

**19. RESPONSE TO CUSTOMER SERVICE COMPLAINTS.** DEO will forward any customer concerns or complaints about the Board received directly or forwarded from the Governor's or legislative offices, to the Board staff for review. Board staff will investigate the complaint in a timely manner, take appropriate action, and report the action in writing to DEO so that the complaint can be closed.

**20. LIAISONS.**

- a. The Parties acknowledge they have a close working relationship and that neither Party desires an overly-bureaucratic or formal communication structure. To that effect, the Parties may communicate with each other through any appropriate liaison, as context may dictate.
- b. DEO's formal liaison for purposes of this Agreement is Caroline ("Tisha") Womack. Ms. Womack can be reached at [Caroline.Womack@deo.myflorida.com](mailto:Caroline.Womack@deo.myflorida.com) or (850) 245-7126. All communication for which the Parties' course of dealing does reveal a more appropriate liaison will be directed to Ms. Womack, or other designee.
- c. The Board's formal liaison for purposes of this Agreement is Richard Williams. Richard Williams can be reached at [richard.williams@careersourcechipola.com](mailto:richard.williams@careersourcechipola.com), or (850) 633-2732. All communication for which the Parties' course of dealing does reveal a more appropriate liaison will be directed to Richard Williams, or other designee.
- d. If different liaisons are designated by either Party after the execution of this Agreement, notice of the name, telephone number, and email address of the new liaison shall be provided in writing to the other Party and said notification shall be attached to this Agreement.

**21. REQUIRED LOCAL POSITIONS.** Appointed individuals may serve in more than one capacity or perform other job duties and functions, as appropriate, to the extent that no conflict of interest arises or may arise. The Board shall appoint:

- a. A Regional Security Officer.

- b. A custodian for purchased property and equipment.
- c. A personnel liaison (must be a DEO merit staff member).
- d. A public records coordinator.
- e. An Equal Opportunity Officer, consistent with 29 CFR part 38.
- f. An Ethics Officer

**22. CONSTRUCTION; INTERPRETATION.** The title of and the section and paragraph headings in this Agreement are for convenience of reference only and shall not govern or affect the interpretation of any of the terms or provisions of this Agreement. The term “this Agreement” means this Agreement together with all Exhibits hereto, as the same may from time to time be amended, modified, supplemented, or restated in accordance with the terms hereof. The use in this Agreement of the term “including” and other words of similar import mean “including, without limitation” and where specific language is used to clarify by example a general statement contained herein, such specific language shall not be deemed to modify, limit, or restrict in any manner the construction of the general statement to which it relates. The word “or” is not exclusive and the words “herein,” “hereof,” “hereunder,” and other words of similar import refer to this Agreement as a whole, including any Exhibits, and not to any particular section, subsection, paragraph, subparagraph, or clause contained in this Agreement. The use herein of terms importing the singular shall also include the plural, and vice versa. The reference to an agreement, instrument, or other document means such agreement, instrument, or other document as amended, supplemented, and modified from time to time to the extent permitted by the provisions thereof and the reference to a statute means such statute as amended from time to time and includes any successor legislation thereto and any regulations promulgated thereunder. All references to “\$” shall mean United States dollars. The recitals of this Agreement are incorporated herein by reference and shall apply to the terms and provisions of this Agreement and the Parties. Time is of the essence with respect to the performance of all obligations under this Agreement. The Parties have participated jointly in the negotiation and drafting of this Agreement. If an ambiguity or question of intent or interpretation arises, this Agreement shall be construed as if drafted jointly by the Parties, and no presumption or burden of proof shall arise favoring or disfavoring any Party by virtue of the authorship of any of the provisions of this Agreement.

**23. PRESERVATION OF REMEDIES; SEVERABILITY; RIGHT TO SET-OFF.** No delay or omission to exercise any right, power, or remedy accruing to either Party upon breach or default by either Party under this Agreement will impair any such right, power, or remedy of either Party nor will such delay or omission be construed as a waiver of any breach or default or any similar breach or default. If any term or provision of this Agreement is found to be illegal, invalid, or unenforceable, such term or provision will be deemed stricken, and the remainder of this Agreement will remain in full force and effect. DEO and the State shall have all of its common law, equitable and statutory rights of set-off, including, without limitation, the State’s option to withhold for the purposes of set-off any moneys due to the Board under this Agreement up to any amounts due and owing to DEO with respect to this Agreement, any other contract with any state department or agency, including any contract for a term commencing prior to the term of this Agreement, plus any amounts due and owing to the State for any other reason including, without limitation, tax delinquencies or monetary penalties relative thereto. The State shall exercise its set-off rights in accordance with normal state practices including, in cases of set-off pursuant to an audit, the finalization of such audit by the state or its representatives.

**24. ENTIRE AGREEMENT; AMENDMENT; WAIVER.** This Agreement embodies the entire agreement of the Parties with respect to the subject matter hereof. There are no provisions, terms, conditions, or obligations other than those contained in this Agreement, and this Agreement supersedes all previous communications, representations, or agreements, either verbal or written, between the Parties. No amendment will be effective unless reduced to writing and signed by an authorized officer of the Board and the authorized agent of DEO. No waiver by any Party of any of the provisions hereof shall be effective unless explicitly set forth in writing and signed by the Party so waiving. No waiver by any Party shall operate or be construed as a waiver in respect of any failure, breach or default not expressly identified by such written waiver, whether of a similar or different character, and whether occurring before or after that waiver. No failure to exercise, or delay in exercising, any right, remedy, power, or privilege arising from this Agreement shall operate or be construed as a waiver thereof; nor shall any single or partial exercise of any right, remedy, power, or privilege hereunder preclude any other or further exercise thereof or the exercise of any other right, remedy, power, or privilege.

**IN WITNESS HEREOF**, by signature below, the Parties acknowledge they have read this Agreement and the attachments hereto, understand each section and paragraph, agreed to abide by the terms of this Agreement, and intend that this Agreement become effective as described above.

**DEPARTMENT OF ECONOMIC OPPORTUNITY**

**[LWDB Chairperson or person with authority to sign on behalf of LWDB (verify authority if not chairperson)]**

By: \_\_\_\_\_  
*Signature*

Printed Name: Ken Lawson

Title: Executive Director

Date: \_\_\_\_\_

By: \_\_\_\_\_  
*Signature*

Printed Name: [insert name]

Title: [insert title]

Date: \_\_\_\_\_

Acknowledged by the Chief Local Elected Official:

By: \_\_\_\_\_  
*Signature*

Printed Name: [insert name] Title: [insert title]

Date: \_\_\_\_\_

## EXHIBIT A AUDIT REQUIREMENTS

The administration of resources awarded by the Department of Economic Opportunity (Department or DEO) to the recipient may be subject to audits and/or monitoring by DEO as described in the Agreement and as described further in this Exhibit. No provision of the Agreement is intended to limit the terms of this Exhibit, and no provision in this Exhibit is intended to limit the terms of the Agreement. The term “contract,” as used throughout this Exhibit, means the Agreement, and any individual subaward granted to the recipient through a Notice of Fund Availability (NFA).

**MONITORING.** In addition to reviews of audits conducted in accordance with 2 CFR 200, Subpart F - Audit Requirements, and section 215.97, Florida Statutes (F.S.), as revised (see AUDITS below), monitoring procedures may include, but not be limited to, on-site visits by DEO staff, limited scope audits as defined by 2 CFR §200.425, or other procedures. By entering into this agreement, the recipient agrees to comply and cooperate with any monitoring procedures or processes deemed appropriate by DEO. In the event DEO determines that a limited scope audit of the recipient is appropriate, the recipient agrees to comply with any additional instructions provided by DEO staff to the recipient regarding such audit. The recipient further agrees to comply and cooperate with any inspections, reviews, investigations, or audits deemed necessary by the Chief Financial Officer (CFO) or Auditor General.

### AUDITS.

**PART I: FEDERALLY FUNDED.** This part is applicable if the subrecipient is a state or local government or a nonprofit organization as defined in 2 CFR §200.90, §200.64, and §200.70.

1. A recipient that expends \$750,000 or more in federal awards in its fiscal year must have a single or program-specific audit conducted in accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements. EXHIBIT A to this form lists the federal resources awarded through DEO by this agreement. In determining the federal awards expended in its fiscal year, the subrecipient shall consider all sources of federal awards, including federal resources received from DEO. The determination of amounts of federal awards expended should be in accordance with the guidelines established in 2 CFR §§200.502-503. An audit of the subrecipient conducted by the Auditor General in accordance with the provisions of 2 CFR §200.514 will meet the requirements of this Part.
2. For the audit requirements addressed in Part I, paragraph 1, the subrecipient shall fulfill the requirements relative to auditee responsibilities as provided in 2 CFR §§200.508-512.
3. A recipient that expends less than \$750,000 in federal awards in its fiscal year is not required to have an audit conducted in accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements. If the subrecipient expends less than \$750,000 in federal awards in its fiscal year and elects to have an audit conducted in accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, the cost of the audit must be paid from non-federal resources (i.e., the cost of such an audit must be paid from recipient resources obtained from other than federal entities).

**PART II: STATE FUNDED.** This part is applicable if the recipient is a nonstate entity as defined by Section 215.97(2), Florida Statutes.

1. In the event that the recipient expends a total amount of state financial assistance equal to or in excess of \$750,000 in any fiscal year of such recipient (for fiscal years ending June 30, 2017, and thereafter), the recipient must have a state single or project-specific audit for such fiscal year in accordance with section 215.97, F.S.; Rule Chapter 69I-5, F.A.C., State Financial Assistance; and Chapters 10.550 (local governmental entities) and 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General. EXHIBIT A to this form lists the state financial assistance awarded through DEO by this agreement. In determining the state financial assistance expended in its fiscal year, the recipient shall consider all sources of state financial assistance, including state financial assistance received from DEO, other state agencies, and other nonstate entities. State financial assistance does not include federal direct or pass-through awards and resources received by a nonstate entity for federal program matching requirements.

2. For the audit requirements addressed in Part II, paragraph 1, the recipient shall ensure that the audit complies with the requirements of section 215.97(8), F.S. This includes submission of a financial reporting package as defined by section 215.97(2), F.S., and Chapters 10.550 (local governmental entities) and 10.650 (nonprofit

and for-profit organizations), Rules of the Auditor General.

3. If the recipient expends less than \$750,000 in state financial assistance in its fiscal year (for fiscal years ending June 30, 2017, and thereafter), an audit conducted in accordance with the provisions of section 215.97, F.S., is not required. If the recipient expends less than \$750,000 in state financial assistance in its fiscal year and elects to have an audit conducted in accordance with the provisions of section 215.97, F.S., the cost of the audit must be paid from the nonstate entity's resources (i.e., the cost of such an audit must be paid from the recipient's resources obtained from other than state entities).

### **PART III: OTHER AUDIT REQUIREMENTS. AUDITOR WORK PAPERS ON INTERNAL CONTROLS**

The Board will obtain the internal control work papers from the auditor(s) performing its annual independent financial statement audit. The Board will keep these work papers onsite as part of their financial records and will make these records available for review by DEO upon request. The Board further agrees that, upon request, DEO will also be provided other audit work papers as needed.

### **PART IV: REPORT SUBMISSION.**

1. Copies of reporting packages for audits conducted in accordance with 2 CFR 200, Subpart F - Audit Requirements, and required by Part I of this form shall be submitted, when required by 2 CFR §200.512, by or on behalf of the recipient directly to the Federal Audit Clearinghouse (FAC) as provided in 2 CFR §200.36 and §200.512.

The FAC's website provides a data entry system and required forms for submitting the single audit reporting package. Updates to the location of the FAC and data entry system may be found at the OMB website.

2. Copies of financial reporting packages required by Part II of this form shall be submitted by or on behalf of the recipient directly to each of the following:

- a. DEO at each of the following addresses:

Electronic copies (preferred):	or	Paper (hard copy): <a href="mailto:Audit@deo.myflorida.com">Audit@deo.myflorida.com</a>
		Department Economic Opportunity
		MSC #75, Caldwell Building 107 East Madison
		Street Tallahassee, FL 32399-4126

- b. The Auditor General's Office at the following address: Auditor General

Local Government Audits/342 Claude Pepper Building, Room 401 111 West Madison Street Tallahassee, Florida 32399-1450

The Auditor General's website (<https://flauditor.gov/>) provides instructions for filing an electronic copy of a financial reporting package.

3. Copies of reports or the management letter required by Part III of this form shall be submitted by or on behalf of the recipient directly to:

Electronic copies (preferred):	or	Paper (hard copy):
<a href="mailto:Audit@deo.myflorida.com">Audit@deo.myflorida.com</a>		Department Economic Opportunity
		MSC #75, Caldwell Building 107 East Madison
		Street Tallahassee, FL. 32399-4126

4. Any reports, management letters, or other information required to be submitted DEO pursuant to this agreement shall be submitted timely in accordance with 2 CFR §200.512, section 215.97, F.S., and Chapters 10.550 (local governmental entities) and 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General, as applicable.

5. Recipients, when submitting financial reporting packages to DEO for audits done in accordance with 2 CFR 200, Subpart F - Audit Requirements, or Chapters 10.550 (local governmental entities) and 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General, should indicate the date that the reporting package

was delivered to the recipient in correspondence accompanying the reporting package.

**PART V: RECORD RETENTION.** The recipient shall retain sufficient records demonstrating its compliance with the terms of this Agreement for a period of five (5) years from the date the audit report is issued, or five (5) state fiscal years after all reporting requirements are satisfied and final payments have been received, whichever period is longer, and shall allow DEO, or its designee, CFO, or Auditor General access to such records upon request. The recipient shall ensure that audit working papers are made available to DEO, or its designee, CFO, or Auditor General upon request for a period of five (5) years from the date the audit report is issued, unless extended in writing by DEO. In addition, if any litigation, claim, negotiation, audit, or other action involving the records has been started prior to the expiration of the controlling period as identified above, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the controlling period as identified above, whichever is longer.

*-Remainder of Page Intentionally Left Blank-*

**FEDERAL RESOURCES AWARDED TO THE RECIPIENT PURSUANT TO THIS AGREEMENT  
CONSIST OF THE FOLLOWING:**

1. *The specific award information required to be set forth herein will be contained in one or more NFAs issued by DEO pursuant to the terms of the Agreement, which are incorporated herein by reference.*

COMPLIANCE REQUIREMENTS APPLICABLE TO FEDERAL RESOURCES AWARDED  
PURSUANT TO THIS AGREEMENT ARE AS FOLLOWS:

1. *All requirements of this Agreement*

**STATE RESOURCES AWARDED TO THE RECIPIENT PURSUANT TO THIS AGREEMENT CONSIST OF  
THE FOLLOWING:**

**SUBJECT TO SECTION 215.97, FLORIDA STATUTES:**

1. *The specific award information required to be set forth herein will be contained in one or more NFAs issued by DEO pursuant to the terms of the Agreement, which are incorporated herein by reference.*

COMPLIANCE REQUIREMENTS APPLICABLE TO STATE RESOURCES AWARDED PURSUANT  
TO THIS AGREEMENT ARE AS FOLLOWS:

1. *All requirements of this Agreement.*

## EXHIBIT B

### Individual Non-Disclosure and Confidentiality Certification Form

I understand that I will be exposed to certain confidential information for the limited purpose of performing my job. I understand that confidential records may include names (or other personally identifiable information), social security numbers, wage information, reemployment assistance information, employment information, and public assistance information. I understand that this information is confidential and may not be disclosed to others. Prior to receiving access to such information, and any information systems containing such information, I acknowledge and agree to abide by the following standards:

1. I will comply with all security requirements imposed as a condition of use for any system(s) to which I may be granted access.
2. I will use access to the system(s) only for purposes authorized by law within the course and scope of my employment to secure information to conduct program business.
3. I will not disclose my user identification, password, or other information needed to access the system(s) to any party nor shall I give any other individual access to secured information contained within the system(s).
4. If I become aware that any unauthorized individual has or may have obtained access to my user identification, password, or other information needed to access system(s) to which I have been granted access, I will immediately notify the Board's Regional Security Officer.
5. I will store any physical documents containing confidential information in a place that is secure from access by unauthorized persons.
6. I will store and process information maintained in electronic format, such as magnetic tapes, discs, or external drives in such a way that unauthorized persons cannot obtain the information by any means.
7. I will undertake precautions to ensure that only authorized personnel are given access to disclosed information stored in computer system(s).
8. I will not share with anyone any other information regarding access to the system(s) unless I am specifically authorized to do so by the Department of Economic Opportunity.
9. I will not access or request access to any social security numbers, personal information, wage information, employer information, reemployment assistance information, or employment data unless such access is necessary for the performance of my legitimate business duties.
10. I will not disclose any individual data to any parties who are not authorized to receive such data except in the form of reports containing only aggregate statistical information compiled in such a manner that it cannot be used to identify the individual(s) or employers involved.
11. I will not access or divulge information about any personal associates, including relatives, friends, significant others, co-workers, or anyone with whom I reside. I will not provide services to these individuals and will, instead, refer such individuals to other qualified service providers.
12. I will retain the confidential data only for that period of time necessary to perform my public duties. Thereafter, I will either arrange for the retention of such information consistent with federal or state record retention requirements or destroy such data, and any copies made, after the purpose for which the information is disclosed is served. I will do this in such a way so as to prevent the information from being reconstructed,

copied, or used by any means. However, I will not destroy or delete information from information system(s) when such destruction or deletion is outside the scope of my authority.

13. I understand that it is misdemeanor of the second degree to disclose confidential reemployment assistance information to unauthorized persons. I further understand that the Department of Economic Opportunity has process and procedures in place to detect unauthorized access to such information. I understand that it is the practice of the Department of Economic Opportunity to prosecute violations of to the fullest extent of the law.

14. I certify and affirm that I have either (1) received training on the confidential nature of the data to which I am being granted access to, the safeguards required for access privileges, and the penalties involved for any violations; or (2) have received written standards and instructions in the handling of confidential data from my employer or the Department of Economic Opportunity. I will comply with all confidentiality safeguards contained in such training, written standards, or instructions, including but not limited to, the following: a) protecting the confidentiality of my user identification and password; b) securing computer equipment, disks, and offices in which confidential data may be kept; and c) following procedures for the timely destruction or deletion of confidential data.

15. I understand that if I violate any of the confidentiality provisions set forth in the written standards, training, and/or instructions I have received, my user privileges may be immediately suspended or terminated. I also understand that applicable state and/or federal law may provide that any individual who discloses confidential information in violation of any provision of that section may be subject to criminal prosecution and if found guilty could be fined, be subject to imprisonment and dismissal from employment. I have been instructed that if I should violate the provisions of the law, I may receive one or more of these penalties.

Should I have any questions concerning the handling or disclosure of confidential information, I shall immediately ask my supervisor, regional security officer, or One-Stop Operator for guidance and comply with their instructions.

Employee Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Employee Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Work Telephone: \_\_\_\_\_

E-Mail: \_\_\_\_\_

**EXHIBIT C  
CONTRACT INFORMATION FORM**

This form is to disclose a conflict or potential conflict and to seek approval of a contract involving a conflict or potential conflict of interest of board members or employees. All requested information is required. Failure to provide complete information may result in disapproval of the contract.

I, \_\_\_\_\_, hereby certify the following information regarding a contract that was approved by a two-thirds (2/3) vote of a quorum of CareerSource \_\_\_\_\_ and will be executed and implemented immediately after receiving the State's approval in compliance with section 445.007(11), Florida Statutes.

Identification of all parties to the contract: \_\_\_\_\_

Contractor Name & Address: \_\_\_\_\_

Contractor Contact Phone Number: \_\_\_\_\_

Contract Number or Other Identifying Information, if any: \_\_\_\_\_

Contract Term: \_\_\_\_\_

Value of the Contract/Renewal/Extension: \_\_\_\_\_

Description of goods and/or services to be procured: \_\_\_\_\_

Name of board member or employee whose conflict of interest required the board's approval of the contract by two-thirds (2/3) vote: \_\_\_\_\_

The nature of the conflicting interest in the contract: \_\_\_\_\_

The board member or employee with the conflict of interest \_\_\_\_\_ did \_\_\_\_\_ did not (check one) attend the meeting(s), including subcommittee meetings, at which the board discussed or voted to approve the contract.

**If the board member or employee with the conflict of interest attended the meeting(s), including subcommittee meetings, at which the board discussed or voted on the contract, the board member or employee was not present during the discussion or vote.**

I further attest that the following is being provided with this form:

- A certified board membership roster listing all members on the board at the time of the vote on the approval of the contract with a vote tally indicating attendance or absence at the meeting(s), including subcommittee meetings, and for those in attendance, the affirmative and negative votes and abstentions for each member.
- Consistent with the procedures outlined in section 112.3143, Florida Statutes, the dated and executed conflict of interest form that was submitted at or before the board meeting(s) in which a vote related to the contract took place, for board member/employee who has any relationship with the contracting vendor.

I certify that the information above is true and correct.

\_\_\_\_\_  
Signature of Board Chair / Vice Chair\*

\_\_\_\_\_  
Print Name

\* Must be certified and attested to by the board's Chair or Vice Chair.

\_\_\_\_\_  
Date

**EXHIBIT D  
DISCLOSURE AND CERTIFICATION OF  
CONFLICT OF INTEREST IN A CONTRACT**

I, \_\_\_\_\_, a board member / an employee of the board (circle one) hereby disclose that I, myself / my employer / my business / my organization/ OR "Other" (describe) \_\_\_\_\_ (circle one or more) could benefit financially from the contract described below:

Local Workforce Development Board: \_\_\_\_\_

Contractor Name & Address: \_\_\_\_\_

Contractor Contact Phone Number: \_\_\_\_\_

Description or Nature of Contract: \_\_\_\_\_ Description of Financial Benefit\*: \_\_\_\_\_ For

purposes of the above contract the following disclosures are made: The contractor's principals\*\*/owners\*\*\*: (check one)

\_\_\_\_\_ have no relative who is a member of the board or an employee of the board; OR

\_\_\_\_\_ have a relative who is a member of the board or an employee of the board, whose name is:

\_\_\_\_\_

The contractor's principals\*\*/owners\*\*\* \_\_\_\_\_ is \_\_\_\_\_ is not (check one) a member of the board. If applicable, the principal's/owner's name is: \_\_\_\_\_

\_\_\_\_\_  
Signature of Board Member/Employee

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Date

\* "Benefit financially from a contract" means the special private financial gain to a member, a special private financial gain to any principal which retains the member, the special private financial gain of the parent organization or subsidiary of a corporate principal which retains the member or the special private financial gain to any member's relatives or business associate or to a board employee and such benefit is not remote or speculative.

\*\* "Principal" means an owner or high-level management employee with decision-making authority.

\*\*\* "Owner" means a person having any ownership interest in the contractor.

NOTICE: CONFLICTS OF INTEREST REGARDING BOARD MEMBERS AND BOARD EMPLOYEES MUST BE DISCLOSED PRIOR TO THE BOARD'S DISCUSSION OR VOTING TO APPROVE THE CONTRACT. BOARD MEMBERS WHO BENEFIT FINANCIALLY OR BOARD MEMBERS OR EMPLOYEES OF THE BOARD WHO HAVE A RELATIONSHIP WITH THE CONTRACTING VENDOR MUST NOT BE PRESENT DURING ANY DISCUSSION AT ANY MEETINGS, INCLUDING SUBCOMMITTEE MEETINGS, INVOLVING THE CONTRACT AND MUST ABSTAIN FROM VOTING OR BEING PRESENT DURING VOTING BY REMOVING HIMSELF OR HERSELF FROM THE ROOM DURING THE PERIOD OF TIME THE VOTES ARE CAST, AND THE CONTRACT MUST BE APPROVED BY A TWO-THIRDS VOTE OF THE BOARD WHEN A QUORUM HAS BEEN ESTABLISHED. COMPLETION OF THIS FORM DOES NOT IN ANY WAY SUPERSEDE OR SUBSTITUTE FOR COMPLIANCE WITH CONFLICT OF INTEREST DISCLOSURE REQUIREMENTS OF SECTION 112.3143, FLORIDA STATUTES, OR SECTION 101(f), WIOA.

## **ABANDONED POSITION**

After multiple attempts, staff have been unable to contact Jackson County private sector member Tanya Burt. Ms. Burt attended one meeting in September 2019 and has not attended any subsequent meetings.

CSC By-Laws state, "Any member missing two consecutive meetings shall be referred to the Executive Committee for the purpose of membership review. If the Executive Committee makes a recommendation for removal of the member due to non-attendance, the recommendation will be subject to action at the next meeting of the Board of Directors. A two-thirds vote of the membership present at a duly called meeting shall be required to approve removal of a Board Member after recommendation by the Executive Committee."

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## **ADDITIONAL INFORMATION**



**George Gainer**  
@SenatorGainer



I want to take a second to thank our local  
[@CareerSourceFL](#) offices who have been an  
INVALUABLE resource to our office with reemployment  
issues. Thank you!

[@careersourcegc](#) (Kim Bodine)  
[@CSChipola](#) (Richard Williams)  
[@careersourceow](#)

We couldn't do it without you!

9:36 AM · Jun 15, 2020 · [Twitter for iPhone](#)





**DEPARTMENT OF ECONOMIC OPPORTUNITY**  
**CareerSource Chipola (CSC)**  
**June 8 – 16, 2020**  
**LWDB 3 Preliminary Review Summary**

**Participant Case File Review**

A total of 34 participant case files were reviewed.

2019-2020 Monitoring Results								
Workforce Program	Issue	Applicable Reference	Prior Year Finding	Current Year Finding	Prior Year ONI	Current Year ONI	Observation	Recommendation
WT / TANF	<p>1. There was an instance where a safety plan was not developed for a victim of domestic violence. Additionally, elements from a safety plan were not included in the IRP/ARP for victims of domestic violence.</p> <p><i>Note: A revision/update, to the LWDB's DV LOP will be forwarded to the WT Policy Unit for clarification.</i></p>	<p><u>Domestic Violence Guidance AWI F G 02-026 and Relocation Guidance AWI F G 01-023.</u></p>	Y	Y	N/A	N/A	N/A	The LWDB program staff must develop a safety plan for all participants that disclose they are victims of domestic violence and ensure that elements from the safety plan are included in the IRP/ARP for victims of domestic violence to identify resources, address barriers to self-sufficiency and to outline the steps to help keep the family safe.
	<p>2. There was no evidence of a verbal attempt to contact and/or counsel a participant during the 10-day counseling period when a pre-penalty was initiated.</p>	<p><u>45 CFR 261.14, 414.065 (F.S.), 65A-4.205, Florida Administrative Code, and FG 03-037.</u></p>	Y	Y	N/A	N/A	N/A	LWDB staff must ensure that an attempt to contact the participant during the 10-day counseling period is conducted and case notes are entered in OSST. The reviewer must be able to determine that the participant was counseled regarding the failure.

	3. There were a couple instances where the IRP did not include the required elements, employment goals (goals must be specific) and assigned weekly activities provided to participants.	<u>45 CFR 261.11, TANF State Plan, 445.024(F.S.), Memorandum dated October 22, 2014- Initial Assessments (IAs), Florida's Work Verification Plan, Individual Responsibility Plans (IRPs) and Alternative Requirement Plans (ARPs), entered in One Stop Service Tracking (OSST) System.</u>	N/A	N/A	Y	Y	N/A	LWDB staff must be reminded that an IRP must be updated to include all required elements anytime changes occur with the participant and/or they have met with program staff and were assigned to a work activity.
	4. In one instance, services remained open, in OSST, for an extended period without any activities or services being provided.	<u>Reporting Data.</u>	N/A	N/A	N/A	N/A	Y	LWDB staff must ensure that all services and activities are ended in OSST and the case is closed if ongoing participation is not required.
<b>Totals</b>			<b>2</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>1</b>	

**SUPPLEMENTAL NUTRITION ASSISTANCE EDUCATION AND TRAINING PROGRAM (SNAP E&T)**

**Participant Case File Review**

A total of 32 participant case files were reviewed.

2019-2020 Monitoring Results								
Workforce Program	Issue	Applicable Reference	Prior Year Finding	Current Year Finding	Prior Year ONI	Current Year ONI	Observation	Recommendation
Supplemental Nutrition Assistance Education and Training Program (SNAP E&T)	1. A participant was not assigned to 80 hours in work activities.	<u>7 CFR 273.7; 7 CFR 273.24(a)(1)(i) and the SNAP State Plan.</u>	Y	Y	N/A	N/A	N/A	Program staff must ensure that participants are assigned to the mandatory work requirement of 80 hours per month.
	2. There were instances of participants who did not have a noncompliance requested when warranted for failure to meet the mandatory work assignments.	<u>State Plan; 7 CFR 273.7.</u>	N	Y	N/A	N/A	N/A	LWDB staff must request a noncompliance for participants who fail to complete the assigned hours per month to ensure they are not receiving benefits they are not eligible for.
	3. Several participant case files were missing documentation to support JPR hours entered in OSST.	<u>7 CFR 273.7 (d)(4)(ii), (e)(1), (m) (3) (v) (A), and the SNAP E&amp;T State Plan.</u>	N	Y	N/A	N/A	N/A	LWDB staff must ensure that they accurately count and verify the hours before entering any information in OSST and that timesheets used to support the hours are maintained in the participant case files.
	4. <b>Appointment Status:</b>  • A participant did not have their initial appointment code 590-status code ended timely (i.e. within 2-days).	<u>State Plan; 7 CFR 273.7(c)(2);</u>	N/A	N/A	N	Y	N/A	LWDB staff must ensure that the 590-status code is ended within two business days of completion of the appointment or no-show.  LWDB staff must ensure that the correct status is used to close 590 appointments.

	•A participant's 590-appointment status was closed with the incorrect status.	<u>Memo dated January 5, 2017.</u>						
<b>Totals</b>			<b>1</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>0</b>	

**COLLECTION OF DEMOGRAPHIC DATA**

The Demographic Process review tool was used to gather information about CSC's practice of collecting demographic data. Staff indicated all customers are asked to register when they enter the career centers. The registration process advises customers the information requested is voluntary, kept confidential, and only used in accordance to federal law. The region stated it will create a policy detailing their collection practices.

**FINANCIAL DISCLOSURE**

In reviewing the Financial Disclosure Process Tool, CSC board members have filed their Financial Form 1F with the appropriate entity. LWDB has posted all board meeting minutes to their website. No issues were found.

**WORKFORCE INNOVATION AND OPPORTUNITY ACT (WIOA)**

**ADULT AND DISLOCATED WORKER PROGRAM**

**Participant Case File Review**

A total of 27 participant case files, (20 adults and seven dislocated workers) were reviewed.

2019-2020 Monitoring Results								
Workforce Program	Issue	Applicable Reference	Prior Year Finding	Current Year Finding	Prior Year ONI	Current Year ONI	Observation	Recommendation
Workforce Innovation and Opportunity Act (WIOA)  Adult and Dislocated	In several instances, incentives were awarded to adult and dislocated worker participants.	<u>2 CFR 200.75 and 2 CFR 200.456, 20 CFR 680.900-970, WIOA Sec. 3 (59) and Sec. 134(d)(2).</u>	N	Y	N/A	N/A	N/A	The LWDB must ensure incentives are not given to adult or dislocated worker participants without prior approval of DEO.

Worker Program								
<b>Totals</b>			<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	

**WIOA YOUTH PROGRAM**

**Participant Case File Review**

A total of 13 out-of-school participant case files were reviewed.

*The review did not reveal any Findings, Other Noncompliance Issues or Observations.*

**SPECIAL PROJECTS**

- 2018 PFM "225 Above" Project: Five participant case files
- Hurricane Michael National Dislocated Worker Grant: Five participant case files
- SFY 2019-20 WIOA Rural Initiatives: One participant case file

**Participant Case File Review**

A total of 11 participant case files were reviewed.

2019-2020 Monitoring Results								
Workforce Program	Issue	Applicable Reference	Prior Year Finding	Current Year Finding	Prior Year Other Noncompliance Issue	Current Year Other Noncompliance Issue	Observation	Recommendation
Workforce Innovation and Opportunity Act (WIOA)	1. In one instance, a measurable skill gain was not recorded in Employ Florida for each applicable program year in which the participant was enrolled in an	<b><u>20 CFR Part 677.155 (a)(v), WIOA Sec. 116 and TEGL 10-16 Change 1.</u></b>	N/A	N/A	Y	Y	N/A	The LWDB must ensure that measurable skills gains are captured and recorded in Employ Florida for each applicable program year that the participant is enrolled in an educational or training program. If the includable

	educational or training program.							activity crosses program years, a MSG for each program year must be captured.
	2. In one instance, quarterly follow-ups were not conducted by the due date indicated in the follow-up table in Employ Florida.	<u>20 CFR 677.175 WIOA Sec.116, and Follow-up Memorandum 3/23/07, Master Cooperative Agreement.</u>	N/A	N/A	N	Y	N/A	The LWDB must ensure that follow-ups are conducted by the required due date noted in the follow-up table in Employ Florida.
	3. In several instances, incentives were awarded to adult and dislocated worker participants.	<u>2 CFR 200.75 and 2 CFR 200.456, 20 CFR 680.900-970, WIOA Sec. 3 (59) and Sec. 134(d)(2).</u>	N	Y	N/A	N/A	N/A	The LWDB must ensure incentives are not given to adult or dislocated worker participants without prior approval of DEO.
<b>Totals</b>			<b>0</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>0</b>	

**TRADE ADJUSTMENT ASSISTANCE (TAA)**

**Participant Case File Review**

*The were no Trade Adjustment Assistance files in the review.*

**WAGNER-PEYSER (WP) PROGRAM**

**Participant Case File Review**

A total of 68 (30 job seekers, 20 job orders, 15 placements and three RESEA) case files were reviewed.

2019-2020 Monitoring Results								
Workforce Program	Issue	Applicable Reference	Prior Year Finding	Current Year Finding	Prior Year ONI	Current Year ONI	Observation	Recommendation
Wagner – Peyser (WP)	1. A few job seekers were identified as MSFWs in the Employ Florida application were incorrectly identified as MSFW.	<u>20 CFR 653.103(a), 20 CFR 651.10; DEO FG 03-040; Desk Aid</u>	N	Y	N/A	N/A	N/A	LWDB staff must ensure an MSFW designation in a job seeker registration and application is

Staff entered services that triggered participation as MSFWs and subsequently were reported as MSFWs on federal reporting.							verified prior to providing a service that triggers participation.
2. A few job seekers had one or more service codes recorded and were missing portions or entire case notes that met the requirement of the service code guide.	<u>20 CFR 651.10; DEO AP 099; Employ Florida Service Code Guide.</u>	N/A	Y	N/A	N/A	N/A	LWDB staff must ensure services entered in Employ Florida match the type of service provided, have all required documentation, are correctly identified as triggering or continuing participation, and entered within 15 days of the provision of the service.
3. A few job seekers with staff-entered Employ Florida registrations after 5/24/2019 did not have documentation of the job seekers' permissions to create the accounts in Employ Florida.	<u>20 CFR 652.207, 652.3, DEO AP 096 and 099 (rev. 5/24/2019).</u>	N/A	Y	N/A	N/A	N/A	LWDB staff must ensure when registering individuals in Employ Florida, job seeker permission is documented.
Additionally, a few job seekers did not have a complete registration in Employ Florida prior to the provision of the first service that triggered WP participation.							LWDB staff must also ensure that a full registration is completed in Employ Florida prior to the provisions of the first service that initiated WP participation.
4. LWDB staff did not request permission to create staff-referrals for a few job seekers to job orders after 5/24/2019.	<u>20 CFR 652.3 and DEO AP-099 (revised 5/24/2019).</u>	N/A	Y	N/A	N/A	N/A	The LWDB must ensure that staff obtain and document permission prior to referring a job seeker to a job order.
5. A job order with more than one placement did not have case notes on the job order with the individual wages at which each job seeker was hired.	<u>Fair Labor Standards Act/FL Statutes - Title XXXI Labor Section 448.01; DEO AP 099.</u>	N/A	N/A	Y	Y	N/A	If a placement is made on a job order with multiple job seeker placements, staff must ensure each job seeker and placement rate of pay is recorded in a case note on the job order in Employ Florida.
6. The LWDB did not conduct a compliance review for an	<u>20 CFR 651.10; DEO AP 099.</u>	N/A	N/A	N	Y	N/A	LWDB staff must ensure employer-entered job orders are reviewed for

	employer-entered job order within the allowed time frame.								compliance within two business days of entry in Employ Florida. The review must be documented in a case note in the system.
	7. Verification documentation for an employer was missing the name and title of the employer contact.	<u>20 CFR 651.10; DEO AP 099.</u>	N/A	N/A	N	Y		N/A	LWDB staff must ensure employers are verified and reviewed for compliance prior to approval. The verification and review must be documented in a case note in the system and contain multiple pieces of information including the name and title of the verification contact at the employer.
<b>Reemployment Services and Eligibility Assessment (RESEA)/WP Common Issue</b>	8. An EDP was missing specific action steps to reach the short-range occupational goal.	<u>20 CFR 651.10; 20 CFR 678.430; UIPL 8-18; CSF Admin Policy 068.</u>	N/A	N/A	N	Y		N/A	Staff must assure EDPs are documented and must contain specific short and long-term goals and the action steps to achieve those goals. The plans are to be conducted jointly with the customer to address their specific needs and should not be uniform.
<b>Totals</b>			<b>0</b>	<b>4</b>	<b>1</b>	<b>4</b>		<b>0</b>	

**CAREER CENTER CREDENTIALING**

A Career Center Credentialing review was conducted to determine compliance with program guidance. The methodology for conducting the review will include self-certification by the LWDB that the following credentialing requirements had been met for the review period at each of the career center locations in the LWDA (posters, signage and resource room verification). All other administrative requirements and records (listing of front-line staff, continuing education hours attained, complaint system information, etc.) were reviewed by the monitor.

The monitor also reviewed the administrative documents provided to determine whether all “front-line” staff members had completed their required Tier I Certification courses and the 15 hours of continuing education courses in related subjects. As part of the credentialing process, the monitor reviewed the LWDB’s complaint system to ensure that a system is in place to process any Wagner-Peyser complaints received. No issues were observed.

**MANAGEMENT INFORMATION SYSTEMS (MIS)**

The LWDB provided an up-to-date listing of all MIS users during the review period who have had their status revoked. The monitor matched the names of terminated users on the LWDB’s list with user staff accounts maintained by DEO’s Internal Security unit to determine whether any of those individuals still had access to the system and/or if

their privileges had been revoked. Additionally, the MIS security forms are maintained electronically or in hard (paper) copy form in a secure location. No issues were observed.

The LWDB had documentation to provide support to the policies and procedures ensuring a background screening was conducted for all new staff (Board, contractor, or provider) hired during the review period. Additionally, the LWDB provided documentation that all newly hired staff completed Individual Non-Disclosure and Confidentiality Certification forms and security access agreements. No issues were observed.

### **MANAGEMENT INFORMATION SYSTEMS (MIS)**

The LWDB appears to have policies and procedures in place to ensure that individuals who are no longer employed in the LWDB are promptly removed from having access to the MIS. The LWDB provided an up-to-date listing of all MIS users during the review period who have had their status revoked. The monitor matched the names of terminated users on the LWDB 's list with user staff accounts maintained by DEO's Internal Security Unit (ISU) to determine whether any of those individuals still had access to the system and/or if their privileges had been revoked. According to the LWDB, a review of all staff access to systems is performed whenever a change in personnel is performed. Additionally, the MIS security forms are maintained electronically or in hard (paper) copy form in a secure location. No issues were observed.

The LWDB had documentation to provide support to the policies and procedures ensuring a background screening was conducted for all new staff (Board, contractor, or provider) hired during the review period. Additionally, the LWDB provided documentation that all newly hired staff completed Individual Non-Disclosure and Confidentiality Certification forms and security access agreements. No issues were observed.